

# RISC-V Cryptography Extensions Volume I Scalar & Entropy Source Instructions

Version v1.0.0-rc1, 6'th August, 2021: This document is in the Frozen state. Change is unlikely but possible, with a high threshold for consideration.

# Table of Contents

Colophon	1
Acknowledgments	2
1. Introduction	3
1.1. Intended Audience	3
1.2. Sail Specifications	4
1.3. Policies	4
2. Extensions Overview	5
2.1. Zbkb - Bitmanip instructions for Cryptography	5
2.2. Zbkc - Carry-less multiply instructions	6
2.3. Zbkx - Crossbar permutation instructions	6
2.4. Zknd - NIST Suite: AES Decryption	7
2.5. Zkne - NIST Suite: AES Encryption	7
2.6. Zknh - NIST Suite: Hash Function Instructions	7
2.7. Zksed - ShangMi Suite: SM4 Block Cipher Instructions	8
2.8. Zksh - ShangMi Suite: SM3 Hash Function Instructions	8
2.9. Zkr - Entropy Source Extension	8
2.10. Zkn - NIST Algorithm Suite	8
2.11. Zks - ShangMi Algorithm Suite	9
2.12. Zk - Standard scalar cryptography extension	9
2.13. Zkt - Data Independent Execution Latency	9
3. Instructions	10
3.1. aes32dsi	10
3.2. aes32dsmi	11
3.3. aes32esi	12
3.4. aes32esmi	13
3.5. aes64ds	14
3.6. aes64dsm	15
3.7. aes64es	16
3.8. aes64esm	17
3.9. aes64im	18
3.10. aes64ks1i	19
3.11. aes64ks2	20
3.12. andn	21
3.13. clmul	22
3.14. clmulh	23
3.15. orn	24
3.16. pack	25
3.17. packh	26
3.18. packw	27
3.19. rev.b	28
3.20. rev8	29
3.21 rol	30

	3.22. rolw	. 31
	3.23. ror	. 32
	3.24. rori	. 33
	3.25. roriw	. 34
	3.26. rorw	. 35
	3.27. sha256sig0	. 36
	3.28. sha256sig1	. 37
	3.29. sha256sum0	. 38
	3.30. sha256sum1	. 39
	3.31. sha512sig0h	. 40
	3.32. sha512sig0l	. 41
	3.33. sha512sig1h	. 42
	3.34. sha512sig1l	. 43
	3.35. sha512sum0r	. 44
	3.36. sha512sum1r	. 45
	3.37. sha512sig0	. 46
	3.38. sha512sig1	
	3.39. sha512sum0	. 48
	3.40. sha512sum1	. 49
	3.41. sm3p0	. 50
	3.42. sm3p1	. 51
	3.43. sm4ed	
	3.44. sm4ks	
	3.45. unzip	. 54
	3.46. xnor	. 55
	3.47. xperm.b	. 56
	3.48. xperm.n	. 57
	3.49. zip	. 58
4.	Entropy Source	. 59
	4.1. The sentropy CSR	. 59
	4.2. Entropy Source Requirements	. 60
	4.2.1. NIST SP 800-90B / FIPS 140-3 Requirements	. 61
	4.2.2. BSI AIS-31 PTG.2 / Common Criteria Requirements	
	4.2.3. Virtual Sources: Security Requirement	. 61
	4.3. Access Control via mseccfg.SKES	. 62
5.	Data Independent Execution Latency Subset: Zkt	. 63
	5.1. Scope and Goal	. 63
	5.2. Rationale	. 63
	5.3. Background Information	. 64
	5.4. Zkt listings	. 65
	5.4.1. RVI (Base Instruction Set)	. 65
	5.4.2. RVM (Multiply)	. 66
	5.4.3. RVC (Compressed)	
	5.4.4. RVK (Scalar Cryptography)	. 67
	5.4.5. RVB (Bitmanip)	. 68

6. Bibliography	69
Appendix A: Instruction Rationale	. 74
A.1. AES Instructions	. 74
A.2. SHA2 Instructions	. 74
A.3. SM3 and SM4 Instructions	. 74
A.4. Bitmanip Instructions for Cryptography	. 74
A.4.1. Rotations	. 74
A.4.2. Bit & Byte Permutations	. 75
A.4.3. Carry-less Multiply	. 76
A.4.4. Logic With Negate	. 76
A.4.5. Packing	. 76
A.4.6. Crossbar Permutation Instructions	. 77
Appendix B: Entropy Source Rationale and Recommendations	. 78
B.1. Checklists for Design and Self-Certification	. 78
B.2. Standards and Terminology	. 79
B.2.1. Entropy Source (ES)	. 79
B.2.2. Conditioning: Cryptographic and Non-Cryptographic	. 80
B.2.3. Pseudorandom Number Generator (PRNG)	. 80
B.2.4. Deterministic Random Bit Generator (DRBG)	. 80
B.3. Specific Rationale and Considerations	. 80
B.3.1. (Section 4.1) The sentropy CSR	. 80
B.3.2. (Section 4.2.1) NIST SP 800-90B	81
B.3.3. (Section 4.2.2) BSI AIS-31	81
B.3.4. (Section 4.2.3) Virtual Sources	. 82
B.3.5. (Section 4.3) Security Considerations for Direct Hardware Access	. 82
B.4. Security Controls and Health Tests	. 83
B.4.1. T1: On-demand testing	. 83
B.4.2. T2: Continuous checks	. 83
B.4.3. T3: Fatal error states	. 84
B.4.4. Information Flows	. 84
B.5. Implementation Strategies	. 84
B.5.1. Ring Oscillators	. 85
B.5.2. Shot Noise	. 85
B.5.3. Other types of noise	85
B.5.4. Continuous Health Tests	. 86
B.5.5. Non-cryptographic Conditioners	. 86
B.5.6. Cryptographic Conditioners	. 87
B.5.7. The Final Random: DRBGs	. 87
B.5.8. Quantum vs. Classical Random	. 87
B.5.9. Post-Quantum Cryptography	. 88
B.6. Suggested GetNoise Test Interface.	. 88
Appendix C: Supplementary Materials.	. 90
Appendix D: Supporting Sail Code	. 91

# Colophon

This document describes the Scalar Cryptography extensions to the RISC-V Instruction Set Architecture.

This document is in the Frozen state. Change is extremely unlikely. A high threshold will be used, and a change will only occur because of some truly critical issue being identified during the public review cycle. Any other desired or needed changes can be the subject of a follow-on new extension. For more information, see here.



Copyright and licensure:

This work is licensed under a Creative Commons Attribution 4.0 International License



Document Version Information:

master @ f0bb63e65347ea696c2fbbd1f511e5b4ec8d0324

See github.com/riscv/riscv-crypto for more information.

# Acknowledgments

Contributors to all versions of the specification (in alphabetical order) include:

Alexander Zeh, Andy Glew, Barry Spinney, Ben Marshall (Editor), Daniel Page, Derek Atkins, Ken Dockser,

Markku-Juhani O. Saarinen, Nathan Menhorn, L Peter Deutsch, Richard Newell, Claire Wolf

We are all very grateful to the huge number of other people who have helped to improve this specification through their comments, reviews, feedback and questions.

# Chapter 1. Introduction

This document describes the *scalar* cryptography extension for RISC-V. All instructions described herein use the general purpose X registers, and obey the 2-read-1-write register access constraint. These instructions are designed to be lightweight and suitable for 32 and 64 bit base architectures; from embedded IoT class cores to large, application class cores which do not implement a vector unit.

This document also describes the architectural interface to an Entropy Source, which can be used to generate cryptographic secrets. This is found in Chapter 4.

It also contains a mechanism allowing core implementers to provide "Constant Time Execution" guarantees in Chapter 5.

A companion document *Volume II: Vector Instructions*, describes instruction proposals which build on the RISC-V Vector Extension. The Vector Cryptography extension is currently a work in progress waiting for the base Vector extension to stabilise. We expect to pick up this work in ernest in Q4-2021 or Q1-2022.

### 1.1. Intended Audience

Cryptography is a specialist subject, requiring people with many different backgrounds to cooperate in its secure and efficient implementation. Where possible, we have written this specification to be understandable by all, though we recognise that the motivations and references to algorithms or other specifications and standards may be unfamiliar to those who are not domain experts.

This specification anticipates being read and acted on by various people with different backgrounds. We have tried to capture these backgrounds here, with a brief explanation of what we expect them to know, and how it relates to the specification. We hope this aids peoples understanding of which aspects of the specification are particularly relevant to them, which they may (safely!) ignore, and pass to a colleague.

### Cryptographers and cryptographic software developers

These are the people we expect to write code using the instructions in this specification. They should understand fairly obviously the motivations for the instructions we include, and be familiar with most of the algorithms and outside standards which we refer to. We expect the sections on constant time execution (Chapter 5) and the entropy source (Chapter 4) to be chiefly understood with their help.

#### Computer architects

We do not expect architects to have a cryptography background. We nonetheless expect architects to be able to examine our instructions for implementation issues, understand how the instructions will be used in context, and advise on how best to fit the functionality the cryptographers want to the ISA interface.

#### Digital design engineers & micro-architects

These are the people who will implement the specification inside a core. Again, no cryptography expertise is assumed, but we expect them to interpret the specification and anticipate any hardware implementation issues. E.g., where high-frequency design considerations apply, or where latency/area tradeoffs exist etc. In particular, they should be aware of the literature around efficiently implementing AES and SM4 SBoxes in hardware.

### Verification engineers

Responsible for ensuring the correct implementation of the extension in hardware. No cryptography background is assumed. We hope they are able to identify interesting test cases from the specification, and knowing how the instructions are used in the real world. We do not expect verification engineers in this sense to be experts in entropy source design or certification, since this is a very specialised area. We do expect them however to identify all of the *architectural* test cases around the entropy source interface.

These are by no means the only people concerned with the specification, but they are the ones we considered most while writing it.

### 1.2. Sail Specifications

RISC-V maintains a formal model of the ISA specification, implemented in the Sail ISA specification language [1]. Note that *Sail* refers to the specification language itself, and that there is a *model* of *RISC-V*, written using Sail. It is not correct to refer to "the Sail model". This is ambiguous, given there are many models of different ISAs implemented using Sail. We refer to the Sail implementation of RISC-V as "the RISC-V Sail model".

The Cryptography extension uses inline Sail code snippets from the actual model to give canonical descriptions of instruction functionality. Each instruction is accompanied by its expression in Sail, and includes calls to supporting functions which are too verbose to include directly in the specification. This supporting code is listed in Appendix D. The Sail Manual is recommended reading in order to best understand the code snippets.

### 1.3. Policies

In creating this proposal, we tried to adhere to the following policies:

- Where there is a choice between: 1) supporting diverse implementation strategies for an algorithm or 2) supporting a single implementation style which is more performant / less expensive; the crypto extension will pick the more constrained but performant option. This fits a common pattern in other parts of the RISC-V specification, where recommended (but not required) instruction sequences for performing particular tasks are given as an example, such that both hardware and software implementers can optimise for only a single use-case.
- The extension will be designed to support *existing* standardised cryptographic constructs well. It will not try to support proposed standards, or cryptographic constructs which exist only in academia. Cryptographic standards which are settled upon concurrently with, or after the RISC-V cryptographic extension standardisation will be dealt with by future additions to, or versions of, the RISC-V cryptographic standard extension. It is anticipated that the NIST Lightweight Cryptography contest, and the NIST Post-Quantum Cryptography contest may be dealt with this way, depending on timescales.
- Historically, there has been some discussion [39] on how newly supported operations in general purpose computing might enable new bases for cryptographic algorithms. The standard will not try to anticipate new useful low level operations which *may* be useful as building blocks for future cryptographic constructs.
- Regarding side-channel countermeasures: Where relevant, proposed instructions must aim to remove the
  possibility of any timing side-channels. For side-channels based on power or electro-magnetic (EM)
  measurements, the extension will not aim to support countermeasures which are implemented above the ISA
  abstraction layer. Recommendations will be given where relevant on how micro-architectures can implement
  instructions in a power/EM side-channel resistant way.

# Chapter 2. Extensions Overview

The group of extensions introduced by the Scalar Cryptography Instruction Set Extension is listed here.

Detection of individual cryptography extensions uses the unified software-based RISC-V discovery method.



At the time of writing, these discovery mechanisms are still a work in progress.

A note on extension rationale



Specialist encryption and decryption instructions are separated into different functional groups because some use cases (e.g., Galois/Counter Mode in TLS 1.3, among others) do not require decryption functionality.

The NIST and ShangMi algorithms suites are separated because their usefulness is heavily dependent on the countries a device is expected to operate in. NIST ciphers are a part of most standardised internet protocols, while ShangMi ciphers are required for use in China.

### 2.1. Zbkb - Bitmanip instructions for Cryptography

These are a subset of the Bitmanipulation Extension Zbb which are particularly useful for Cryptography.



Some of these instructions are defined in the first Bitmanip ratification package, and some are not ( pack, packh, packw, rev.b, zip, unzip). All of the instructions in Zbkb have their complete specification included in this document, including those *not* present in the initial Bitmanip ratification package. This is to make the specification complete as a standalone document. Inevitably there might be small divergences between the Bitmanip and Scalar Cryptography specification documents as they move at different paces. When this happens, assume that the Bitmanip specification has the most up-to-date version of Bitmanip instructions. This is an unfortunate but neccessary stop-gap while Scalar Cryptography and Bitmanip are being rapidly iterated on prior to public review.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	ror	Rotate right (Register)
<b>✓</b>	<b>✓</b>	rol	Rotate left (Register)
<b>✓</b>	<b>✓</b>	rori	Rotate right (Immediate)
	<b>✓</b>	rorw	Rotate right Word (Register)
	<b>✓</b>	rolw	Rotate Left Word (Register)
	<b>✓</b>	roriw	Rotate right Word (Immediate)
<b>✓</b>	<b>✓</b>	andn	AND with inverted operand
<b>✓</b>	<b>✓</b>	orn	OR with inverted operand
<b>✓</b>	<b>✓</b>	xnor	Exclusive NOR
<b>✓</b>	<b>✓</b>	pack	Pack low halves of registers
<b>✓</b>	<b>✓</b>	packh	Pack low bytes of registers
	<b>✓</b>	packw	Pack low 16-bits of registers (RV64)

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	rev.b	Reverse bits in bytes
<b>✓</b>	<b>✓</b>	rev8	Byte-reverse register
<b>✓</b>		zip	Bit interleave
<b>✓</b>		unzip	Bit deinterleave

### 2.2. Zbkc - Carry-less multiply instructions

Constant time carry-less multiply for Galois/Counter Mode. These are separated from the Zbkb because they have a considerable implementation overhead which cannot be amortised across other instructions.



These instructions are defined in the first Bitmanip ratification package for the Zbc extension. All of the instructions in Zbkc have their complete specification included in this document, including those *not* present in the initial Bitmanip ratification package. This is to make the specification complete as a standalone document. Inevitably there might be small divergences between the Bitmanip and Scalar Cryptography specification documents as they move at different paces. When this happens, assume that the Bitmanip specification has the most upto-date version of Bitmanip instructions. This is an unfortunate but neccessary stop-gap while Scalar Cryptography and Bitmanip are being rapidly iterated on prior to public review.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	clmul	Carry-less multiply (low-part)
<b>✓</b>	<b>✓</b>	clmulh	Carry-less multiply (high-part)

### 2.3. Zbkx - Crossbar permutation instructions

These instructions are useful for implementing SBoxes in constant time, and potentially with DPA protections. These are separated from the Zbkb because they have a implementation overhead which cannot be amortised across other instructions.



All of these instructions are missing from the first Bitmanip ratification package. Hence, all of the instructions in Zbkx have their complete specification included in this document. This is to make the specification complete as a standalone document. Inevitably there might be small divergences between the Bitmanip and Scalar Cryptography specification documents as they move at different paces. When this happens, assume that the Bitmanip specification has the most up-to-date version of Bitmanip instructions. This is an unfortunate but neccessary stopgap while Scalar Cryptography and Bitmanip are being rapidly iterated on prior to public review.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	xperm.b	Crossbar permutation (bytes)
<b>✓</b>	<b>✓</b>	xperm.n	Crossbar permutation (nibbles)

# 2.4. Zknd - NIST Suite: AES Decryption

Instructions for accelerating the decryption and key-schedule functions of the AES block cipher.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>		aes32dsi	AES final round decrypt (RV32)
<b>✓</b>		aes32dsmi	AES middle round decrypt (RV32)
	<b>✓</b>	aes64ds	AES decrypt final round (RV64)
	<b>✓</b>	aes64dsm	AES decrypt middle round (RV64)
	<b>✓</b>	aes64im	AES Decrypt KeySchedule MixColumns (RV64)
	<b>✓</b>	aes64ks1i	AES Key Schedule Instruction 1 (RV64)
	<b>✓</b>	aes64ks2	AES Key Schedule Instruction 2 (RV64)

# 2.5. Zkne - NIST Suite: AES Encryption

Instructions for accelerating the encryption and key-schedule functions of the AES block cipher.

RV32	RV64	Mnemonic	Instruction
<b>√</b>		aes32esi	AES final round encrypt (RV32)
<b>✓</b>		aes32esmi	AES middle round encrypt (RV32)
	<b>✓</b>	aes64es	AES encrypt final round instruction (RV64)
	<b>✓</b>	aes64esm	AES encrypt middle round instruction (RV64)
	<b>✓</b>	aes64ks1i	AES Key Schedule Instruction 1 (RV64)
	<b>✓</b>	aes64ks2	AES Key Schedule Instruction 2 (RV64)

# 2.6. Zknh - NIST Suite: Hash Function Instructions

Instructions for accelerating the SHA2 family of cryptographic hash functions, as specified in [49].

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	sha256sig0	SHA2-256 Sigma0 instruction
<b>✓</b>	<b>✓</b>	sha256sig1	SHA2-256 Sigma1 instruction
<b>✓</b>	<b>✓</b>	sha256sum0	SHA2-256 Sum0 instruction
<b>✓</b>	<b>✓</b>	sha256sum1	SHA2-256 Sum1 instruction
<b>✓</b>		sha512sig0h	SHA2-512 Sigma0 high (RV32)
<b>✓</b>		sha512sig0l	SHA2-512 Sigma0 low (RV32)
<b>✓</b>		sha512sig1h	SHA2-512 Sigma1 high (RV32)
<b>✓</b>		sha512sig1l	SHA2-512 Sigma1 low (RV32)

RV32	RV64	Mnemonic	Instruction
<b>✓</b>		sha512sum0r	SHA2-512 Sum0 (RV32)
<b>✓</b>		sha512sum1r	SHA2-512 Sum1 (RV32)
	<b>✓</b>	sha512sig0	SHA2-512 Sigma0 instruction (RV64)
	<b>✓</b>	sha512sig1	SHA2-512 Sigma1 instruction (RV64)
	<b>✓</b>	sha512sum0	SHA2-512 Sum0 instruction (RV64)
	<b>✓</b>	sha512sum1	SHA2-512 Sum1 instruction (RV64)

# 2.7. Zksed - ShangMi Suite: SM4 Block Cipher Instructions

Instructions for accelerating the SM4 Block Cipher. Note that unlike AES, this cipher uses the same core operation for encryption and decryption, hence there is only one extension for it.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	sm4ed	SM4 Encrypt/Decrypt Instruction
<b>✓</b>	<b>✓</b>	sm4ks	SM4 Key Schedule Instruction

### 2.8. Zksh - ShangMi Suite: SM3 Hash Function Instructions

Instructions for accelerating the SM3 hash function.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	sm3p0	SM3 P0 transform
<b>✓</b>	<b>✓</b>	sm3p1	SM3 P1 transform

### 2.9. Zkr - Entropy Source Extension

This extension defines an entropy source for seeding random number generators, accessed via the sentropy CSR. See Chapter 4 for the normative specification and Appendix B for design rationale and recommendations to implementers.

## 2.10. Zkn - NIST Algorithm Suite

This extension is shorthand for the following set of other extensions:

Included Extension	Description
Zbkb	Bitmanipulation instructions for cryptography.
Zbkc	Carry-less multiply instructions.
Zbkx	Cross-bar Permutation instructions.
Zkne	AES encryption instructions.
Zknd	AES decryption instructions.

Included Extension	Description
Zknh	SHA2 hash function instructions.

A core which implements Zkn must implement all of the above extensions.

### 2.11. Zks - ShangMi Algorithm Suite

This extension is shorthand for the following set of other extensions:

Included Extension	Description
Zbkb	Bitmanipulation instructions for cryptography.
Zbkc	Carry-less multiply instructions.
Zbkx	Cross-bar Permutation instructions.
Zksed	SM4 block cipher instructions.
Zksh	SM3 hash function instructions.

A core which implements Zks must implement all of the above extensions.

# 2.12. Zk - Standard scalar cryptography extension

This extension is shorthand for the following set of other extensions:

Included Extension	Description
Zkn	NIST Algorithm suite extension.
Zkr	Entropy Source extension.
Zkt	Data independent execution latency extension.

A core which implements Zk must implement all of the above extensions.

### 2.13. Zkt - Data Independent Execution Latency

This extension allows CPU implementers to indicate to cryptographic software developers that a subset of RISC-V instructions are guaranteeed to be implemented such that their execution latency is independent of the data values they operate on. A complete description of this extension is found in Chapter 5.

# Chapter 3. Instructions

### 3.1. aes32dsi

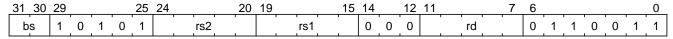
### **Synopsis**

AES final round decryption instruction for RV32.

### Mnemonic

```
aes32dsi rd, rs1, rs2, bs
```

### **Encoding**



#### Description

This instruction sources a single byte from rs2 according to bs. To this it applies the inverse AES SBox operation, and XOR's the result with rs1. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

Extension	Minimum version	Lifecycle state
Zknd (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

### 3.2. aes32dsmi

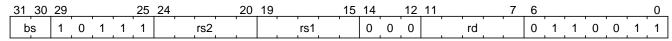
### **Synopsis**

AES middle round decryption instruction for RV32.

#### Mnemonic

```
aes32dsmi rd, rs1, rs2, bs
```

#### **Encoding**



### Description

This instruction sources a single byte from rs2 according to bs. To this it applies the inverse AES SBox operation, and a partial inverse MixColumn, before XOR'ing the result with rs1. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

Extension	Minimum version	Lifecycle state
Zknd (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

### 3.3. aes32esi

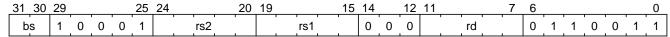
### **Synopsis**

AES final round encryption instruction for RV32.

#### Mnemonic

```
aes32esi rd, rs1, rs2, bs
```

### **Encoding**



### Description

This instruction sources a single byte from rs2 according to bs. To this it applies the forward AES SBox operation, before XOR'ing the result with rs1. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

Extension	Minimum version	Lifecycle state
Zkne (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

### 3.4. aes32esmi

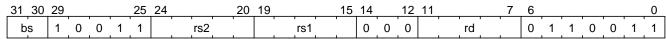
### **Synopsis**

AES middle round encryption instruction for RV32.

#### Mnemonic

```
aes32esmi rd, rs1, rs2, bs
```

### **Encoding**



### Description

This instruction sources a single byte from rs2 according to bs. To this it applies the forward AES SBox operation, and a partial forward MixColumn, before XOR'ing the result with rs1. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

Extension	Minimum version	Lifecycle state
Zkne (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

### 3.5. aes64ds

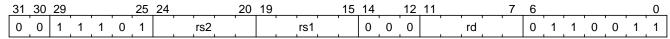
### **Synopsis**

AES final round decryption instruction for RV64.

#### Mnemonic

```
aes64ds rd, rs1, rs2
```

### **Encoding**



### Description

Uses the two 64-bit source registers to represent the entire AES state, and produces *half* of the next round output, applying the Inverse ShiftRows and SubBytes steps. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note To Software Developers

The following code snippet shows the final round of the AES block decryption. t0 and t1 hold the current round state. t2 and t3 hold the next round state.



```
aes64ds t2, t0, t1
aes64ds t3, t1, t0
```

Note the reversed register order of the second instruction.

### Operation

```
function clause execute (AES64DS(rs2, rs1, rd)) = {
  let sr : bits(64) = aes_rv64_shiftrows_inv(X(rs2)[63..0], X(rs1)[63..0]);
  let wd : bits(64) = sr[63..0];
  X(rd) = aes_apply_inv_sbox_to_each_byte(wd);
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknd (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.6. aes64dsm

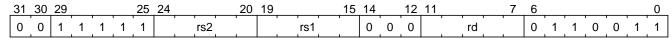
### **Synopsis**

AES middle round decryption instruction for RV64.

#### Mnemonic

```
aes64dsm rd, rs1, rs2
```

#### **Encoding**



### Description

Uses the two 64-bit source registers to represent the entire AES state, and produces *half* of the next round output, applying the Inverse ShiftRows, SubBytes and MixColumns steps. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note To Software Developers

The following code snippet shows one middle round of the AES block decryption. t0 and t1 hold the current round state. t2 and t3 hold the next round state.



```
aes64dsm t2, t0, t1
aes64dsm t3, t1, t0
```

Note the reversed register order of the second instruction.

### Operation

```
function clause execute (AES64DSM(rs2, rs1, rd)) = {
  let sr : bits(64) = aes_rv64_shiftrows_inv(X(rs2)[63..0], X(rs1)[63..0]);
  let wd : bits(64) = sr[63..0];
  let sb : bits(64) = aes_apply_inv_sbox_to_each_byte(wd);
  X(rd) = aes_mixcolumn_inv(sb[63..32]) @ aes_mixcolumn_inv(sb[31..0]);
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknd (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.7. aes64es

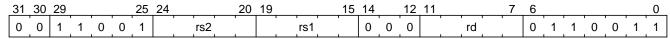
### **Synopsis**

AES final round encryption instruction for RV64.

#### Mnemonic

```
aes64es rd, rs1, rs2
```

### **Encoding**



### Description

Uses the two 64-bit source registers to represent the entire AES state, and produces *half* of the next round output, applying the ShiftRows and SubBytes steps. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note To Software Developers

The following code snippet shows the final round of the AES block encryption. t0 and t1 hold the current round state. t2 and t3 hold the next round state.



```
aes64es t2, t0, t1
aes64es t3, t1, t0
```

Note the reversed register order of the second instruction.

### Operation

```
function clause execute (AES64ES(rs2, rs1, rd)) = {
  let sr : bits(64) = aes_rv64_shiftrows_fwd(X(rs2)[63..0], X(rs1)[63..0]);
  let wd : bits(64) = sr[63..0];
  X(rd) = aes_apply_fwd_sbox_to_each_byte(wd);
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zkne (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.8. aes64esm

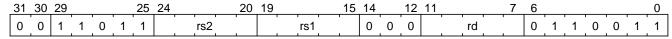
### **Synopsis**

AES middle round encryption instruction for RV64.

#### Mnemonic

```
aes64esm rd, rs1, rs2
```

### **Encoding**



### Description

Uses the two 64-bit source registers to represent the entire AES state, and produces *half* of the next round output, applying the ShiftRows, SubBytes and MixColumns steps. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note To Software Developers

The following code snippet shows one middle round of the AES block encryption. t0 and t1 hold the current round state. t2 and t3 hold the next round state.



```
aes64esm t2, t0, t1
aes64esm t3, t1, t0
```

Note the reversed register order of the second instruction.

### Operation

```
function clause execute (AES64ESM(rs2, rs1, rd)) = {
  let sr : bits(64) = aes_rv64_shiftrows_fwd(X(rs2)[63..0], X(rs1)[63..0]);
  let wd : bits(64) = sr[63..0];
  let sb : bits(64) = aes_apply_fwd_sbox_to_each_byte(wd);
  X(rd) = aes_mixcolumn_fwd(sb[63..32]) @ aes_mixcolumn_fwd(sb[31..0]);
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zkne (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.9. aes64im

### **Synopsis**

This instruction accelerates the inverse MixColumns step of the AES Block Cipher, and is used to aid creation of the decryption KeySchedule.

#### Mnemonic

aes64im rd, rs1

### **Encoding**

31	30	29				25	24				20	19		15	14		12	11		7	6						0
0	်၀	1	1	0	0	်၀	0	0	0	0	0		rs1		0	0	1		rd		0	0	1	0	0	1	1

### Description

The instruction applies the inverse MixColumns transformation to two columns of the state array, packed into a single 64-bit register. It is used to create the inverse cipher KeySchedule, according to the equivalent inverse cipher construction in [47] (Page 23, Section 5.3.5). This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

```
function clause execute (AES64IM(rs1, rd)) = {
  let w0 : bits(32) = aes_mixcolumn_inv(X(rs1)[31.. 0]);
  let w1 : bits(32) = aes_mixcolumn_inv(X(rs1)[63..32]);
  X(rd) = w1 @ w0;
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknd (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.10. aes64ks1i

### **Synopsis**

This instruction implements part of the KeySchedule operation for the AES Block cipher involving the SBox operation.

#### Mnemonic

```
aes64ks1i rd, rs1, rnum
```

### **Encoding**

31	30	29				25	24	23	20	19		15	14		12	11		7	6						0
0	0	1	1	0	0	0	1	rnı	um		rs1		0	0	1	,	rd		0	0	์ 1	0	0	์ 1	1

### Description

This instruction implements the rotation, SubBytes and Round Constant addition steps of the AES block cipher Key Schedule. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on. Note that <a href="mailto:rnum">rnum</a> must be in the range 0x0..0xA. The values 0xB..0xF are reserved.

### Operation

```
function clause execute (AES64KS1I(rnum, rs1, rd)) = {
 if(unsigned(rnum) > 10) then {
   handle_illegal();    RETIRE_SUCCESS
 } else {
   let tmp1 : bits(32) = X(rs1)[63..32];
            : bits(32) = aes_rnum_to_rcon(rnum); /* round number -> round
   let rc
constant */
   let tmp2 : bits(32) = if (rnum ==0xA) then tmp1 else ror32(tmp1, 8);
   let tmp3: bits(32) = aes_sbox_fwd(tmp2[31..24]) @ aes_sbox_fwd(tmp2[23..16])
0
                          aes_sbox_fwd(tmp2[15.. 8]) @ aes_sbox_fwd(tmp2[ 7.. 0])
   let result : bits(64) = (tmp3 ^ rc) @ (tmp3 ^ rc);
   X(rd) = EXTZ(result);
   RETIRE_SUCCESS
 }
}
```

Extension	Minimum version	Lifecycle state
Zkne (RV64)	v1.0.0-rc1	Frozen
Zknd (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.11. aes64ks2

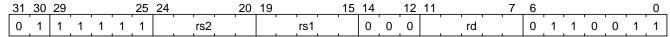
### **Synopsis**

This instruction implements part of the KeySchedule operation for the AES Block cipher.

### Mnemonic

```
aes64ks2 rd, rs1, rs2
```

### **Encoding**



### Description

This instruction implements the additional XOR'ing of key words as part of the AES block cipher Key Schedule. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

### Operation

```
function clause execute (AES64KS2(rs2, rs1, rd)) = {
  let w0 : bits(32) = X(rs1)[63..32] ^ X(rs2)[31..0];
  let w1 : bits(32) = X(rs1)[63..32] ^ X(rs2)[31..0] ^ X(rs2)[63..32];
  X(rd) = w1 @ w0;
  RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zkne (RV64)	v1.0.0-rc1	Frozen
Zknd (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

### 3.12. andn

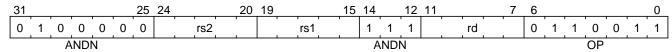
### **Synopsis**

AND with inverted operand

### Mnemonic

andn rd, rs1, rs2

### **Encoding**



### Description

This instruction performs the bitwise logical AND operation between rs1 and the bitwise inversion of rs2.

### Operation

Extension	Minimum version	Lifecycle state			
Zbb ([zbb])	0.93	Frozen			
Zbkb (Zbkb)	v1.0.0-rc1	Frozen			

### 3.13. clmul

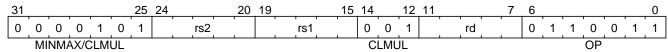
### **Synopsis**

Carry-less multiply (low-part)

### Mnemonic

clmul rd, rs1, rs2

### **Encoding**



### Description

clmul produces the lower half of the 2-XLEN carry-less product.

### Operation

Extension	Minimum version	Lifecycle state			
Zbc ([zbc])	0.93	Frozen			
Zbkc (Zbkc)	v1.0.0-rc1	Frozen			

### 3.14. clmulh

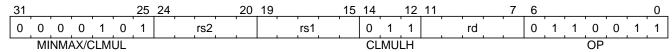
### **Synopsis**

Carry-less multiply (high-part)

### Mnemonic

clmulh rd, rs1, rs2

### **Encoding**



### Description

clmulh produces the upper half of the 2-XLEN carry-less product.

### Operation

Extension	Minimum version	Lifecycle state
Zbc ([zbc])	0.93	Frozen
Zbkc (Zbkc)	v1.0.0-rc1	Frozen

### 3.15. orn

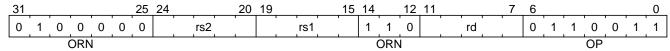
### **Synopsis**

OR with inverted operand

### Mnemonic

orn rd, rs1, rs2

### **Encoding**



### Description

This instruction performs the bitwise logical AND operation between rs1 and the bitwise inversion of rs2.

### Operation

Extension	Minimum version	Lifecycle state			
Zbb ([zbb])	0.93	Frozen			
Zbkb (Zbkb)	v1.0.0-rc1	Frozen			

# 3.16. pack

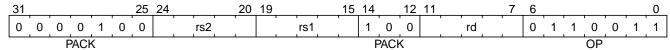
### **Synopsis**

Pack the low halves of rs1 and rs2 into rd.

### Mnemonic

```
pack rd, rs1, rs2
```

### **Encoding**



### Description

The pack instruction packs the XLEN/2-bit lower halves of *rs1* and *rs2* into *rd*, with *rs1* in the lower half and *rs2* in the upper half.

### Operation

```
let lo_half : bits(xlen/2) = X(rs1)[xlen/2-1..0];
let hi_half : bits(xlen/2) = X(rs2)[xlen/2-1..0];
X(rd) = EXTZ(hi_half @ lo_half);
```

Extension	Minimum version	Lifecycle state			
Zbkb (Zbkb)	v1.0.0-rc1	Frozen			

# 3.17. packh

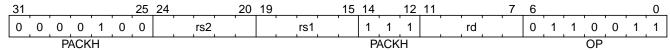
### **Synopsis**

Pack the low bytes of rs1 and rs2 into rd.

### Mnemonic

```
packh rd, rs1, rs2
```

### **Encoding**



### Description

And the packh instruction packs the least-significant bytes of *rs1* and *rs2* into the 16 least-significant bits of *rd*, zero extending the rest of *rd*.

### Operation

```
let lo_half : bits(8) = X(rs1)[7..0];
let hi_half : bits(8) = X(rs2)[7..0];
X(rd) = EXTZ(hi_half @ lo_half);
```

Extension	Minimum version	Lifecycle state			
Zbkb (Zbkb)	v1.0.0-rc1	Frozen			

# 3.18. packw

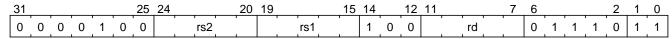
### **Synopsis**

Pack the low 16-bits of rs1 and rs2 into rd on RV64.

### Mnemonic

```
packw rd, rs1, rs2
```

### **Encoding**



### Description

This instruction packs the low 16 bits of rs1 and rs2 into the 32 least-significant bits of rd, sign extending the 32-bit result to the rest of rd. This instruction only exists on RV64 based systems.

### Operation

```
let lo_half : bits(16) = X(rs1)[15..0];
let hi_half : bits(16) = X(rs2)[15..0];
X(rd) = EXTS(hi_half @ lo_half);
```

Extension	Minimum version	Lifecycle state
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

### 3.19. rev.b

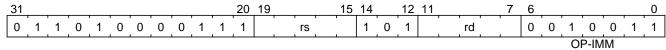
### **Synopsis**

Reverse the bits in each byte of a source register.

### Mnemonic

```
rev.b rd, rs
```

### **Encoding**



### Description

This instruction reverses the order of the bits in every byte of a register.

### Operation

```
result : xlenbits = EXTZ(0b0);
foreach (i from 0 to sizeof(xlen) by 8) {
    result[i+7..i] = reverse_bits_in_byte(X(rs1)[i+7..i]);
};
X(rd) = result;
```

Extension	Minimum version	Lifecycle state
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

### 3.20. rev8

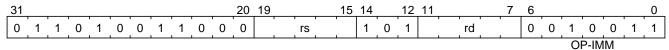
### **Synopsis**

Byte-reverse register

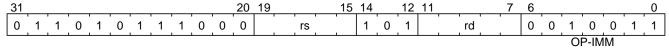
### Mnemonic

rev8 rd, rs

### **Encoding (RV32)**



### **Encoding (RV64)**



### Description

This instruction reverses the order of the bytes in rs.

### Operation

```
let input = X(rs);
let output : xlenbits = 0;
let j = xlen - 1;

foreach (i from 0 to (xlen - 8) by 8) {
    output[i..(i + 7)] = input[(j - 7)..j];
    j = j - 8;
}

X[rd] = output
```



### Note

The rev8 mnemonic corresponds to different instruction encodings in RV32 and RV64.



### Software Hint

The byte-reverse operation is only available for the full register width. To emulate word-sized and halfword-sized byte-reversal, perform a rev8 rd,rs followed by a srai rd,rd.

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

### 3.21. rol

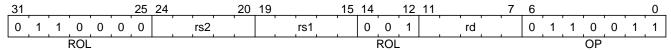
### **Synopsis**

Rotate Left (Register)

### Mnemonic

rol rd, rs1, rs2

### **Encoding**



### Description

This instruction performs a rotate left of rs1 by the amount in least-significant log2(XLEN) bits of rs2.

### Operation

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

### 3.22. rolw

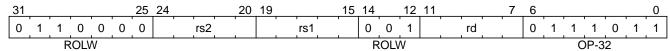
### **Synopsis**

Rotate Left Word (Register)

### Mnemonic

rolw rd, rs1, rs2

### **Encoding**



### Description

This instruction performs a rotate left on the least-significant word of *rs1* by the amount in least-significant 5 bits of *rs2*. The resulting word value is sign-extended by copying bit 31 to all of the more-significant bits.

### Operation

```
let rs1 = EXTZ(X(rs1)[31..0])
let shamt = X(rs2)[4..0];
let result = (rs1 << shamt) | (rs1 >> (32 - shamt));
X(rd) = EXTS(result);
```

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

### 3.23. ror

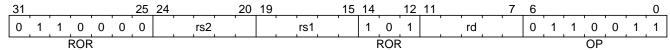
### **Synopsis**

Rotate Right

### Mnemonic

ror rd, rs1, rs2

### **Encoding**



### Description

This instruction performs a rotate right of rs1 by the amount in least-significant log2(XLEN) bits of rs2.

### Operation

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

## 3.24. rori

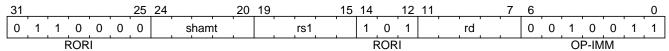
#### **Synopsis**

Rotate Right (Immediate)

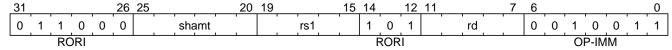
#### Mnemonic

rori rd, rs1, shamt

#### **Encoding (RV32)**



#### **Encoding (RV64)**



#### Description

This instruction performs a rotate right of rs1 by the amount in the least-significant log2(XLEN) bits of shamt. For RV32, the encodings corresponding to shamt[5]=1 are reserved.

#### Operation

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

## 3.25. roriw

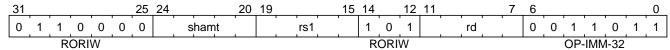
#### **Synopsis**

Rotate Right Word by Immediate

#### Mnemonic

roriw rd, rs1, shamt

#### **Encoding**



#### Description

This instruction performs a rotate right on the least-significant word of *rs1* by the amount in the least-significant log2(XLEN) bits of *shamt*. The resulting word value is sign-extended by copying bit 31 to all of the more-significant bits.

#### Operation

```
let rs1_data = EXTZ(X(rs1)[31..0];
let result = (rs1_data >> shamt[4..0]) | (rs1_data << (32 - shamt[4..0]));
X(rd) = EXTS(result[31..0]);</pre>
```

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

## 3.26. rorw

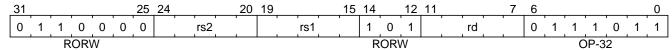
#### **Synopsis**

Rotate Right Word (Register)

#### Mnemonic

rorw rd, rs1, rs2

#### **Encoding**



#### Description

This instruction performs a rotate right on the least-significant word of *rs1* by the amount in least-significant 5 bits of *rs2*. The resultant word is sign-extended by copying bit 31 to all of the more-significant bits.

#### Operation

```
let rs1 = EXTZ(X(rs1)[31..0])
let shamt = X(rs2)[4..0];
let result = (rs1 >> shamt) | (rs1 << (32 - shamt));
X(rd) = EXTS(result);</pre>
```

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

## 3.27. sha256sig0

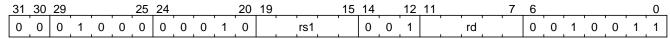
#### **Synopsis**

Implements the Sigma0 transformation function as used in the SHA2-256 hash function [49] (Section 4.1.2).

#### Mnemonic

```
sha256sig0 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for both RV32 and RV64 base architectures. For RV32, the entire XLEN source register is operated on. For RV64, the low 32 bits of the source register is operated on, and the result sign extended to XLEN bits. Though named for SHA2-256, the instruction works for both the SHA2-224 and SHA2-256 parameterisations as described in [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh	v1.0.0-rc1	Frozen
Zkn	v1.0.0-rc1	Frozen
Zk	v1.0.0-rc1	Frozen

# 3.28. sha256sig1

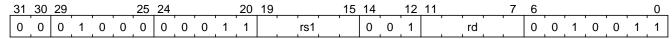
#### **Synopsis**

Implements the Sigma1 transformation function as used in the SHA2-256 hash function [49] (Section 4.1.2).

#### Mnemonic

```
sha256sig1 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for both RV32 and RV64 base architectures. For RV32, the entire XLEN source register is operated on. For RV64, the low 32 bits of the source register is operated on, and the result sign extended to XLEN bits. Though named for SHA2-256, the instruction works for both the SHA2-224 and SHA2-256 parameterisations as described in [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh	v1.0.0-rc1	Frozen
Zkn	v1.0.0-rc1	Frozen
Zk	v1.0.0-rc1	Frozen

#### 3.29. sha256sum0

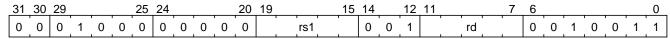
#### **Synopsis**

Implements the Sum0 transformation function as used in the SHA2-256 hash function [49] (Section 4.1.2).

#### Mnemonic

sha256sum0 rd, rs1

#### **Encoding**



#### Description

This instruction is supported for both RV32 and RV64 base architectures. For RV32, the entire XLEN source register is operated on. For RV64, the low 32 bits of the source register is operated on, and the result sign extended to XLEN bits. Though named for SHA2-256, the instruction works for both the SHA2-224 and SHA2-256 parameterisations as described in [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh	v1.0.0-rc1	Frozen
Zkn	v1.0.0-rc1	Frozen
Zk	v1.0.0-rc1	Frozen

## 3.30. sha256sum1

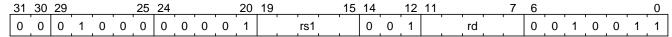
#### **Synopsis**

Implements the Sum1 transformation function as used in the SHA2-256 hash function [49] (Section 4.1.2).

#### Mnemonic

sha256sum1 rd, rs1

#### **Encoding**



#### Description

This instruction is supported for both RV32 and RV64 base architectures. For RV32, the entire XLEN source register is operated on. For RV64, the low 32 bits of the source register is operated on, and the result sign extended to XLEN bits. Though named for SHA2-256, the instruction works for both the SHA2-224 and SHA2-256 parameterisations as described in [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh	v1.0.0-rc1	Frozen
Zkn	v1.0.0-rc1	Frozen
Zk	v1.0.0-rc1	Frozen

## 3.31. sha512sig0h

#### **Synopsis**

Implements the *high half* of the Sigma0 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig0h rd, rs1, rs2
```

#### **Encoding**

31 30	29	)			25	24			20	19	19 15 1 <sub>0</sub>				12	11		7 6						0				
0 1	0	្នំ1	์ 1	์ 1	0		rs	32			rs1		0	0	0		rc			0	1	์ 1	0	0	์ 1	1		

#### Description

This instruction is implemented on RV32 only. Used to compute the Sigma0 transform of the SHA2-512 hash function in conjunction with the sha512sig01 instruction. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sigma0 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sig0l t0, a0, a1
sha512sig0h t1, a0, a1
```

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

# 3.32. sha512sig0l

#### **Synopsis**

Implements the *low half* of the Sigma0 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig0l rd, rs1, rs2
```

#### **Encoding**

31 30 29 25	24 20 19	15 14 12	11 7 6	0
0 1 0 1 0 1 0	rs2	rs1 0 0 0	rd 0 1	1 0 0 1 1

#### Description

This instruction is implemented on RV32 only. Used to compute the Sigma0 transform of the SHA2-512 hash function in conjunction with the sha512sig0h instruction. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sigma0 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sig0l t0, a0, a1
sha512sig0h t1, a0, a1
```

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

# 3.33. sha512sig1h

#### **Synopsis**

Implements the *high half* of the Sigma1 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig1h rd, rs1, rs2
```

#### **Encoding**

31 30	29				25	24		20	19	19 15 1 <sub>-</sub>					12	11	7 6						0			
0 1	0	1	1	1	1		rs2			rs1			0	0	0		rd			0	1	1	0	0	์ 1	์ 1

#### Description

This instruction is implemented on RV32 only. Used to compute the Sigma1 transform of the SHA2-512 hash function in conjunction with the sha512sig11 instruction. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sigma1 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sig1l t0, a0, a1
sha512sig1h t1, a0, a1
```

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

# 3.34. sha512sig1l

#### **Synopsis**

Implements the *low half* of the Sigma1 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig1l rd, rs1, rs2
```

#### **Encoding**

31 30	29				25	24		20	19		15	14		12	11		7	6						0
0 1	0	1	0	1	1		rs2			rs1		0	0	0		rd		0	1	1	0	0	1	1

#### Description

This instruction is implemented on RV32 only. Used to compute the Sigma1 transform of the SHA2-512 hash function in conjunction with the sha512sig1h instruction. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sigma1 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sig1l t0, a0, a1
sha512sig1h t1, a0, a1
```

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

#### 3.35. sha512sum0r

#### **Synopsis**

Implements the the Sum0 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

sha512sum0r rd, rs1, rs2

#### **Encoding**

31 30 29 25	24 20 19	15 14 12 11 7	6 0
0 1 0 1 0 0 0	rs2 rs1	0 0 0 rd	0 1 1 0 0 1 1

#### Description

This instruction is implemented on RV32 only. Used to compute the Sum0 transform of the SHA2-512 hash function. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sum0 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sum0r t0, a0, a1
sha512sum0r t1, a1, a0
```

Note the reversed source register ordering.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

## 3.36. sha512sum1r

#### **Synopsis**

Implements the the Sum1 transformation, as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

sha512sum1r rd, rs1, rs2

#### **Encoding**

31 30 29 25	24 20 19	15 14 12	11 7 6	0
0 1 0 1 0 0 1	rs2	rs1 0 0 0	rd 0 1	1 0 0 1 1

#### Description

This instruction is implemented on RV32 only. Used to compute the Sum1 transform of the SHA2-512 hash function. The transform is a 64-bit to 64-bit function, so the input and output is represented by two 32-bit registers. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

Note to software developers

The entire Sum1 transform for SHA2-512 may be computed on RV32 using the following instruction sequence:



```
sha512sum1r t0, a0, a1
sha512sum1r t1, a1, a0
```

Note the reversed source register ordering.

#### Operation

Extension	Minimum version	Lifecycle state
Zknh (RV32)	v1.0.0-rc1	Frozen
Zkn (RV32)	v1.0.0-rc1	Frozen
Zk (RV32)	v1.0.0-rc1	Frozen

# 3.37. sha512sig0

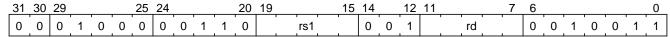
#### **Synopsis**

Implements the Sigma0 transformation function as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig0 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for the RV64 base architecture. It implements the Sigma0 transform of the SHA2-512 hash function. [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

```
function clause execute (SHA512SIGO(rs1, rd)) = {
    X(rd) = ror64(X(rs1), 1) ^ ror64(X(rs1), 8) ^ (X(rs1) >> 7);
    RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknh (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

# 3.38. sha512sig1

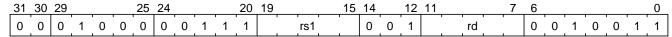
#### **Synopsis**

Implements the Sigma1 transformation function as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

```
sha512sig1 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for the RV64 base architecture. It implements the Sigma1 transform of the SHA2-512 hash function. [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

```
function clause execute (SHA512SIG1(rs1, rd)) = {
   X(rd) = ror64(X(rs1), 19) ^ ror64(X(rs1), 61) ^ (X(rs1) >> 6);
   RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknh (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

## 3.39. sha512sum0

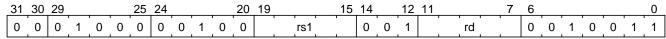
#### **Synopsis**

Implements the Sum0 transformation function as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

sha512sum0 rd, rs1

#### **Encoding**



#### Description

This instruction is supported for the RV64 base architecture. It implements the Sum0 transform of the SHA2-512 hash function. [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

```
function clause execute (SHA512SUMO(rs1, rd)) = {
   X(rd) = ror64(X(rs1), 28) ^ ror64(X(rs1), 34) ^ ror64(X(rs1), 39);
   RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zknh (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

## 3.40. sha512sum1

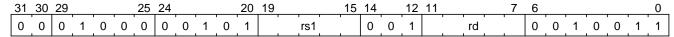
#### **Synopsis**

Implements the Sum1 transformation function as used in the SHA2-512 hash function [49] (Section 4.1.3).

#### Mnemonic

sha512sum1 rd, rs1

#### **Encoding**



#### Description

This instruction is supported for the RV64 base architecture. It implements the Sum1 transform of the SHA2-512 hash function. [49]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

```
function clause execute (SHA512SUM1(rs1, rd)) = {  X(rd) = ror64(X(rs1), 14) ^ ror64(X(rs1), 18) ^ ror64(X(rs1), 41); \\ RETIRE\_SUCCESS \}
```

Extension	Minimum version	Lifecycle state
Zknh (RV64)	v1.0.0-rc1	Frozen
Zkn (RV64)	v1.0.0-rc1	Frozen
Zk (RV64)	v1.0.0-rc1	Frozen

# 3.41. sm3p0

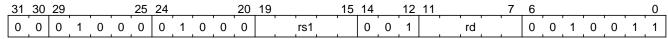
#### **Synopsis**

Implements the P0 transformation function as used in the SM3 hash function [4, 30].

#### Mnemonic

```
sm3p0 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for the RV32 and RV64 base architectures. It implements the *P0* transform of the SM3 hash function [4, 30]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.



Supporting Material

This instruction is based on work done in [56].

#### Operation

Extension	Minimum version	Lifecycle state		
Zksh	v1.0.0-rc1	Frozen		
Zks	v1.0.0-rc1	Frozen		

## 3.42. sm3p1

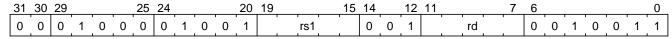
#### **Synopsis**

Implements the P1 transformation function as used in the SM3 hash function [4, 30].

#### Mnemonic

```
sm3p1 rd, rs1
```

#### **Encoding**



#### Description

This instruction is supported for the RV32 and RV64 base architectures. It implements the P1 transform of the SM3 hash function [4, 30]. This instruction must *always* be implemented such that it's execution latency does not depend on the data being operated on.



Supporting Material

This instruction is based on work done in [56].

#### Operation

Extension	Minimum version	Lifecycle state
Zksh	v1.0.0-rc1	Frozen
Zks	v1.0.0-rc1	Frozen

#### 3.43. sm4ed

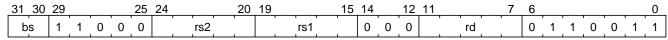
#### **Synopsis**

Accelerates the block encrypt/decrypt operation of the SM4 block cipher [5, 31].

#### Mnemonic

```
sm4ed rd, rs1, rs2, bs
```

#### **Encoding**



#### Description

Implements a T-tables in hardware style approach to accelerating the SM4 round function. A byte is extracted from rs2 based on bs, to which the SBox and linear layer transforms are applied, before the result is XOR'd with rt and written back to rd. This instruction exists on RV32 and RV64 base architectures. On RV64, the 32-bit result is sign extended upto XLEN bits. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

```
function clause execute (SM4ED (bs,rs2,rs1,rd)) = {
 let shamt : bits(5) = bs @ 0b000; /* shamt = bs*8 */
 let sb_in : bits(8) = (X(rs2)[31..0] >> shamt)[7..0];
            : bits(32) = 0x0000000 @ sm4_sbox(sb_in);
            : bits(32) = x ^ (x
                                              << 8) ^ (x
 let y
                                                                          << 2) ^
                                              << 18) ^ ((x & 0x0000003F) << 26) ^
                             ((x \& 0x00000000) << 10);
            : bits(32) = rol32(y, unsigned(shamt));
 let z
 let result: bits(32) = z ^ X(rs1)[31..0];
 X(rd)
                       = EXTS(result);
 RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zksed	v1.0.0-rc1	Frozen
Zks	v1.0.0-rc1	Frozen

#### 3.44. sm4ks

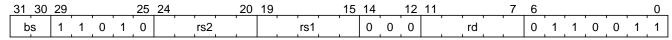
#### **Synopsis**

Accelerates the Key Schedule operation of the SM4 block cipher [5, 31].

#### Mnemonic

```
sm4ks rd, rs1, rs2, bs
```

#### **Encoding**



#### Description

Implements a T-tables in hardware style approach to accelerating the SM4 Key Schedule. A byte is extracted from rs2 based on bs, to which the SBox and linear layer transforms are applied, before the result is XOR'd with rt and written back to rd. This instruction exists on RV32 and RV64 base architectures. On RV64, the 32-bit result is sign extended upto XLEN bits. This instruction must always be implemented such that it's execution latency does not depend on the data being operated on.

#### Operation

Extension	Minimum version	Lifecycle state
Zksed	v1.0.0-rc1	Frozen
Zks	v1.0.0-rc1	Frozen

# 3.45. unzip

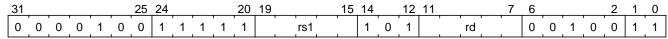
#### **Synopsis**

Implements the inverse of the zip instruction.

#### Mnemonic

```
unzip rd, rs
```

#### **Encoding**



#### Description

This instruction gathers bits from the high and low halves of the source word into odd/even bit positions in the destination word. It is the inverse of the zip instruction. This instruction is available only on RV32.

#### Operation

```
foreach (i from 0 to xlen/2-1) {
   X(rd)[i] = X(rs1)[2*i]
   X(rd)[i+xlen/2] = X(rs1)[2*i+1]
}
```



#### Software Hint

This instruction is useful for implementing the SHA3 cryptographic hash function on a 32-bit architecture, as it implements the bit-interleaving operation used to speed up the 64-bit rotations directly.

Extension	Minimum version	Lifecycle state
Zbkb (Zbkb) (RV32)	v1.0.0-rc1	Frozen

## 3.46. xnor

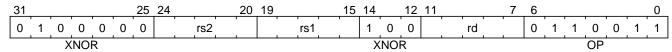
#### **Synopsis**

Exclusive NOR

#### Mnemonic

xnor rd, rs1, rs2

#### **Encoding**



#### Description

This instruction performs the bit-wise exclusive-NOR operation on rs1 and rs2.

#### Operation

Extension	Minimum version	Lifecycle state
Zbb ([zbb])	0.93	Frozen
Zbkb (Zbkb)	v1.0.0-rc1	Frozen

## 3.47. xperm.b

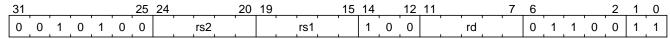
#### **Synopsis**

Byte-wise lookup of indicies into a vector in registers.

#### Mnemonic

```
xperm.b rd, rs1, rs2
```

#### **Encoding**



#### Description

The xperm.b instruction operates on bytes. The rs1 register contains a vector of XLEN/8 8-bit elements. The rs2 register contains a vector of XLEN/8 8-bit indexes. The result is each element in rs2 replaced by the indexed element in rs1, or zero if the index into rs2 is out of bounds.

#### Operation

```
val xpermb_lookup : (bits(8), xlenbits) -> bits(8)
function xpermb_lookup (idx, lut) = {
    (lut >> (idx @ 0b000))[7..0]
}

function clause execute ( XPERM_B (rs2,rs1,rd)) = {
    result : xlenbits = EXTZ(0b0);
    foreach(i from 0 to xlen by 8) {
        result[i+7..i] = xpermn_lookup(X(rs2)[i+7..i], X(rs1));
    };
    X(rd) = result;
    RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zbkx (Zbkx)	v1.0.0-rc1	Frozen

## 3.48. xperm.n

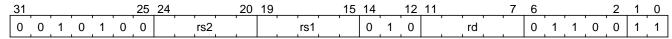
#### **Synopsis**

Nibble-wise lookup of indicies into a vector.

#### Mnemonic

```
xperm.n rd, rs1, rs2
```

#### **Encoding**



#### Description

The xperm.n instruction operates on nibbles. The *rs1* register contains a vector of XLEN/4 4-bit elements. The *rs2* register contains a vector of XLEN/4 4-bit indexes. The result is each element in *rs2* replaced by the indexed element in *rs1*, or zero if the index into *rs2* is out of bounds.

#### Operation

```
val xpermn_lookup : (bits(4), xlenbits) -> bits(4)
function xpermn_lookup (idx, lut) = {
    (lut >> (idx @ 0b00))[3..0]
}

function clause execute ( XPERM_N (rs2,rs1,rd)) = {
    result : xlenbits = EXTZ(0b0);
    foreach(i from 0 to xlen by 4) {
        result[i+3..i] = xpermn_lookup(X(rs2)[i+3..i], X(rs1));
    };
    X(rd) = result;
    RETIRE_SUCCESS
}
```

Extension	Minimum version	Lifecycle state
Zbkx (Zbkx)	v1.0.0-rc1	Frozen

# 3.49. zip

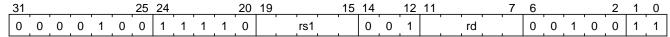
#### **Synopsis**

Gather odd and even bits of the source word into upper/lower halves of the destination.

#### Mnemonic

```
zip rd, rs
```

#### **Encoding**



#### Description

This instruction scatters all of the odd and even bits of a source word into the high and low halves of a destination word. It is the inverse of the unzip instruction. This instruction is available only on RV32.

#### Operation

```
foreach (i from 0 to xlen/2-1) {
   X(rd)[2*i] = X(rs1)[i]
   X(rd)[2*i+1] = X(rs1)[i+xlen/2]
}
```



#### Software Hint

This instruction is useful for implementing the SHA3 cryptographic hash function on a 32-bit architecture, as it implements the bit-interleaving operation used to speed up the 64-bit rotations directly.

Extension	Minimum version	Lifecycle state
Zbkb (Zbkb) (RV32)	v1.0.0-rc1	Frozen

# Chapter 4. Entropy Source

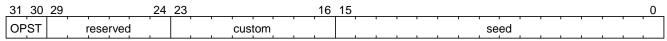
The sentropy CSR provides an interface for a NIST SP 800-90B [63] or BSI AIS-31 [36] compliant Entropy Source (ES). An entropy source, by itself, is not a cryptographically secure Random Bit Generator (RBG), but can be used to build standard (and nonstandard) RBGs of many types with the help of symmetric cryptography. Expected usage is to condition (typically, SHA 2/3) the output from an entropy source and use it to seed a cryptographically secure Deterministic Random Bit Generator (DRBG) such as AES-based CTR\_DRBG [14]. The combination of ES (sentropy CSR), Conditioning, and DRBG can be used to create random bits securely [15].

See Appendix B for a description of a certification and self-certification procedure, design rationale, and more detailed suggestions on how the entropy source output can be used. For further references, see [59, 60].

# 4.1. The sentropy CSR

The entropy source is accessed via S Mode CSR sentropy at address 0x546. It must be read (polled) with a destructive instruction such as csrrw rd, sentropy, x0.

#### **Encoding**



Bits	Name	Description
63:32	Set to 0	Upper bits are set to zero in RV64.
31:30	OPST	Status: BIST (00), WAIT (01), ES16 (10), DEAD (11).
29:24	reserved	For future use by the RISC-V specification.
23:16	custom	Designated for custom and experimental use.
15: 0	seed	16 bits of randomness, only when OPST=ES16.

The status bits sentropy [31:30] = OPST may be ES16 (10), indicating successful polling, or one of three entropy polling failure statuses BIST (00), WAIT (01), or DEAD (11), discussed below.

Each returned ES16 seed represents unique randomness, even if its numerical value is the same as that of a previously polled ES16 value. The seed security requirements of output are defined in Section 4.2. When OPST is not ES16, seed must be set to 0. An implementation may safely set reserved and custom bits to zeros.

For security reasons, the interface guarantees that secret entropy words are not made available multiple times. Hence polling (reading) must also have the side effect of clearing (wipe-on-read) the seed contents and changing the state to WAIT (unless there is another entropy seed immediately available for ES16). Other states (BIST, WAIT, and DEAD) may be unaffected by polling.

Attempted non-destructive (no write) access to sentropy causes an Illegal Instruction Exception, as does any operation without appropriate privileges. See Section 4.3.

The Status Bits at sentropy [31:30] = OPST:

• 00 - BIST indicates that Built-In Self-Test "on-demand" (BIST) testing is being performed. If OPST returns temporarily to BIST from any other state, this signals a non-fatal self-test alarm, which is non-actionable, apart from being logged. Such a BIST alarm must be latched until polled at least once to enable software to record it's occurence.

- 01 WAIT means that a sufficient amount of entropy is not yet available. This is not an error condition and may (in fact) be more frequent than ES16 since physical entropy sources often have low bandwidth.
- 10 ES16 indicates success; the low bits sentropy[15:0] will have 16 bits of randomness, which is guaranteed to meet certain minimum entropy requirements, regardless of implementation.
- 11 DEAD is an unrecoverable self-test error. This may indicate a hardware fault, a security issue, or (extremely rarely) a type-1 statistical false positive in the continuous testing procedures. In case of a fatal failure, an immediate lockdown may also be an appropriate response in dedicated security devices.

**Example.** 0x000000004000ABCD is a valid ES16 status output on RV64, with 0xABCD being the seed value.

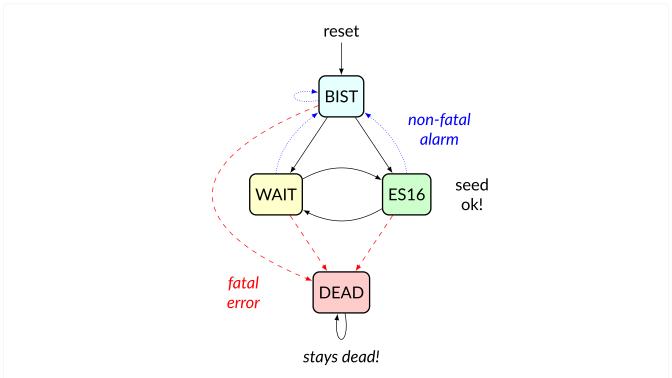


Figure 1. Entropy Source state transition diagram.

Normally the operational state alternates between WAIT (no data) and ES16, which means that 16 bits of randomness (seed) have been polled. BIST (Built-in Self-Test) only occurs after reset or to signal a non-fatal self-test alarm (if reached after WAIT or ES16). DEAD is an unrecoverable error state. Note that though it is not shown in the diagram, there is no requirement for a state change after a WAIT or ES16 state.

# 4.2. Entropy Source Requirements

The output seed from sentropy is not necessarily fully conditioned randomness due to hardware and energy limitations of smaller, low-powered implementations. However, minimum requirements are defined. The main requirement is that 2-to-1 cryptographic post-processing in 256-bit input blocks will yield 128-bit "full entropy" output blocks. Users of sentropy may make this conservative assumption but are not prohibited from using more than twice the number of seed bits relative to the desired resulting entropy.

An implementation of the entropy source should meet at least one of the following requirements sets in order to be considered a secure and safe design:

• Section 4.2.1: A physical entropy source meeting NIST SP 800-90B [63] criteria with evaluated min-entropy level 192 per 256 output bits (min-entropy rate 0.75).

- Section 4.2.2: A physical entropy source meeting AIS-31 PTG.2 [36] criteria, implying average Shannon entropy rate 0.997.
- Section 4.2.3: A virtual entropy source is a DRBG seeded from a physical entropy source. It must have at least a 256-bit (Post-Quantum Category 5) internal security level.

All implementations must be able to signal initialization, test mode, and health alarms as required by respective standards. This may require the implementer to add non-standard test interfaces in a secure and safe manner, an example of which is described in Section B.6

#### 4.2.1. NIST SP 800-90B / FIPS 140-3 Requirements

The interface requirement is satisfied if 128 bits of *full entropy* can be obtained from each 256-bit (16\*16 -bit) successful, but possibly non-consecutive sentropy ES16 output sequence using a vetted conditioning algorithm such as a cryptographic hash (See Section 3.1.5.1.2, [63]).

Rather than attempting to define all the mathematical and architectural properties that the entropy source must satisfy, we define that it should pass the equivalent of SP 800-90B/C evaluation and certification for full entropy when conditioned cryptographically in ratio 2:1 with 128-bit output blocks.

The implication is that every 256-bit sequence should have min-entropy of at least 192 bits, as discussed in SP 800-90C [15]; the likelihood of successfully "guessing" an individual 256-bit output sequence should not be higher than  $2^{-192}$  even with (almost) unconstrained amount of entropy source data and computational power.

Even though the requirement is defined in terms of 128-bit full entropy blocks, we recommend 256-bit security. This can be accomplished by using at least 512 seed bits to initialize a DRBG that has 256-bit security.

#### 4.2.2. BSI AIS-31 PTG.2 / Common Criteria Requirements

For alternative Common Criteria certification (or self-certification), vendors should target AIS 31 PTG.2 requirements [36] (Sect. 4.3.). In this evaluation, seed bits are viewed as "internal random numbers."

In addition to AIS-31 requirements, the overall min-entropy requirement remains, as discussed in Section 4.2.1.

#### 4.2.3. Virtual Sources: Security Requirement



A virtual source is not an ISA compliance requirement. It is defined for the benefit of the RISC-V security ecosystem so that virtual systems may have a consistent level of security.

A virtual source is not a physical entropy source but provides additional protection against covert channels, depletion attacks, and host identification in operating environments that can not be entirely trusted with direct access to a hardware resource. Despite limited trust, implementors should try to guarantee that even such environments have sufficient entropy available for secure cryptographic operations.

A virtual source traps access to the sentropy CSR, emulates it, or otherwise implements it without direct access to a physical entropy source. The output can be cryptographically secure pseudorandomness instead of entropy, but must have at least 256-bit security, as defined below. A virtual source is intended especially for guest operating systems, sandboxes, emulators, and similar use cases.

As a technical definition, a random-distinguishing attack against the output should require computational resources comparable or greater than those required for exhaustive key search on a secure block cipher with a 256-bit key (e.g., AES 256). This applies to both classical and quantum computing models, but only classical information flows. The virtual source security requirement maps to Post-Quantum Security Category 5 [51], so that virtual sources can be used for cryptography.

Any implementation of sentropy that limits the security strength shall not reduce it to less than 256 bits. If the security level is under 256 bits, then the interface must not be available.

A virtual entropy source does not need to implement WAIT or BIST states. It should fail (DEAD) if the host DRBG or entropy source fails and there is insufficient seeding material for the host DRBG.

# 4.3. Access Control via mseccfg. SKES

The sentropy CSR is not available to User Mode Software. The table below summarizes the access patterns in relation to the basic RISC-V privilege levels. S-mode access to the entropy source is controlled via the mseccfg.SKES bit. This is bit 8 of mseccfg CSR.

It is guaranteed that access to sentropy will make seed entropy values available only once. All successful accesses will have the side effect of clearing (polling) the register. A nondestructive read attempt (such as CSRRS / CSRRC with rs1=x0 or CSRRSI / CSRRCI with zero immediate) on sentropy will raise an Illegal Instruction Exception.

Table 1. Entropy Source Access Control.

Mode	Can poll?	Description
М	Yes	The sentropy interface is available in machine mode.
S, HS	mseccfg.SKES	S and HS mode may access sentropy directly if mseccfg.SKES=1, otherwise accesses to sentropy will trap with an Illegal Instruction Exception.
U, VS, VU	No	There must be no direct access to sentropy from U-mode.

Note that the HS, VS, and VU modes are present in systems with the Hypervisor (H) extension implemented.

The hypervisor (or M-mode elements) can trap attempted access to sentropy and feed a less privileged guest virtual entropy source words (Section 4.2.3).

If S / HS modes are implemented, then SKES=1 will allow direct access to the entropy source from S and HS mode, while SKES=0 leads to an illegal instruction exception when sentropy is accessed. If both S and HS mode and mseccfg are not implemented in a system, then access to the entropy source is M-mode only.

Implementations may implement mseccfg such that SKES is a read-only constant value. Software may discover if access to sentropy can be enabled in S and HS mode by writing a 1 to SKES and reading back the result.

mseccfg exists if Zkr is implemented, or if it is required by other processor features. If Zkr is *not* implemented, the SKES bit must be hardwired to zero.

# Chapter 5. Data Independent Execution Latency Subset: Zkt

The Zkt extension attests that the machine has data-independent execution time for a safe subset of instructions. This property is commonly called "constant-time" although should not be taken with that literal meaning.

All currently proposed cryptographic instructions (scalar K extension) are on this list, together with a set of relevant supporting instructions from I, M, C, and B extensions.



Note to software developers

Failure to prevent leakage of sensitive parameters via the direct timing channel is considered a serious security vulnerability and will typically result in a CERT CVE security advisory.

# 5.1. Scope and Goal

An "ISA contract" is made between a programmer and the RISC-V implementation that Zkt instructions do not leak information about processed secret data (plaintext, keying information, or other "sensitive security parameters" — FIPS 140-3 term) through differences in execution latency. Zkt does *not* define a set of instructions available in the core; it just restricts the behaviour of certain instructions if those are implemented.

Currently, the scope of this document is within scalar RV32/RV64 processors. Vector cryptography instructions (and appropriate vector support instructions) will be added later, as will other security-related functions that wish to assert leakage-free execution latency properties.

Loads, stores, conditional branches are excluded, along with a set of instructions that are rarely necessary to process secret data. Also excluded are instructions for which workarounds exist in standard cryptographic middleware due to the limitations of other ISA processors.

The stated goal is that OpenSSL, BoringSSL (Android), the Linux Kernel, and similar trusted software will not have directly observable timing side channels when compiled and running on a Zkt-enabled RISC-V target. The Zkt extension explicitly states many of the common latency assumptions made by cryptography developers.

Note to software developers



Programming techniques can only mitigate leakage directly caused by arithmetic, caches, and branches. Other ISAs have had micro-architectural issues such as Spectre, Meltdown, Speculative Store Bypass, Rogue System Register Read, Lazy FP State Restore, Bounds Check Bypass Store, TLBleed, and L1TF/Foreshadow, etc. See e.g. NSA Hardware and Firmware Security Guidance

It is not within the remit of this proposal to mitigate these micro-architectural leakages.

## 5.2. Rationale

- Architectural testing for Zkt can be pragmatic and semi-formal; security by design against basic timing attacks can usually be achieved via conscious implementation (of relevant iterative multi-cycle instructions or instructions composed of micro-ops) in way that avoids data-dependent latency.
- Laboratory testing may utilize statisticial timing attack leakage analysis techniques such as those described in ISO/IEC 17825 [29].

- Binary executables should not contain secrets in the instruction encodings (Kerckhoffs's principle), so
  instruction timing may leak information about immediates, ordering of input registers, etc. There may be an
  exception to this in systems where a binary loader modifies the executable for purposes of relocation and
  it is desirable to keep the execution location (PC) secret. This is why instructions such as LUI, AUIPC, and
  ADDI are on the list.
- Floating point (F, D, Q, L extensions) are excluded from the constant-time requirement at the moment as they have very few applications in standardised cryptography. We may consider adding D (double precision) add, sub, multiply as a constant time requirement on those targets that have D—in case an algorithm (such as the PQC Signature algorithm Falcon) becomes critical.
- Timing attacks are much more powerful than was realised before the 2010s, which has led to a significant mitigation effort in current cryptographic code-bases.
- Cryptography developers use static and dynamic security testing tools to trace the handling of secret information and detect occasions where it influences a branch or is used for a table lookup.
- The rules used by audit tools are relatively simple to understand. Very briefly; we call the plaintext, secret keys, expanded keys, nonces, and other such variables "secrets". A secret variable (arithmetically) modifying any other variable/register turns that into a secret too. If a secret ends up in address calculation affecting a load or store, that is a violation. If a secret affects a branch's condition, that is also a violation. A secret variable location or register becomes a non-secret via specific zeroization/sanitisation or by being declared ciphertext (or otherwise no-longer-secret information). In essence, secrets can only "touch" instructions on the Zkt list while they are secrets.
- Cryptographers typically assume division to be variable-time (while multiplication is constant time) and implement their Montgomery reduction routines with that assumption.
- Zicsr, Zifencei are excluded, apart from specific formats related to Krypto and timing, to be discussed.
- Some instructions are on the list simply because we see no harm in including them in testing scope.
- Vendors do not have to implement all of the list's instructions to be Zkt compliant; however, if they claim to have Zkt and implement any of the listed instructions, it must have data-independent latency. For example, almost all basic RV32I and RV64I cores (without Multiply, Compressed, Bitmanip, or Cryptographic extensions) are technically compliant with Zkt. A constant-time AES can be implemented on them using "bit-slice" techniques, but it will be excruciatingly slow when compared to implementation with AES instructions. Without Zkt there are no guarantees of even a bit-sliced implementation being secure.

# 5.3. Background Information

For background information on secure programming "models", see:

- Adam Langley: "ctgrind." (This is from 2010 but is still relevant.) www.imperialviolet.org/2010/04/01/ ctgrind.html
- Thomas Pornin: "Why Constant-Time Crypto?" (A great introduction to timing assumptions.) www.bearssl.org/constanttime.html
- Jean-Philippe Aumasson: "Guidelines for low-level cryptography software." (A list of recommendations.) github.com/veorq/cryptocoding
- Peter Schwabe: "Timing Attacks and Countermeasures." (Lecture slides nice references.) summerschoolcroatia.cs.ru.nl/2016/slides/PeterSchwabe.pdf
- For early examples of timing attack vulnerabilities, see <a href="https://www.kb.cert.org/vuls/id/997481">www.kb.cert.org/vuls/id/997481</a> and related academic papers.

# 5.4. Zkt listings

The following instructions are included in the Zkt subset They are listed here grouped by their original parent extension.



Note to implementers

You do not need to implement all of these instructions to implement Zkt. Rather, every one of these instructions that the core does implement must adhere to the requirements of Zkt.

## 5.4.1. RVI (Base Instruction Set)

Only basic arithmetic and slt\* (for carry computations) are included.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	lui rd, imm	[insns-lui]
<b>✓</b>	<b>✓</b>	auipc rd, imm	[insns-auipc]
<b>✓</b>	<b>✓</b>	addi rd, rs1, imm	[insns-addi]
<b>✓</b>	<b>✓</b>	slti rd, rs1, imm	[insns-slti]
<b>✓</b>	<b>✓</b>	sltiu rd, rs1, imm	[insns-sltiu]
<b>✓</b>	<b>✓</b>	xori rd, rs1, imm	[insns-xori]
<b>✓</b>	<b>✓</b>	ori rd, rs1, imm	[insns-ori]
<b>✓</b>	<b>✓</b>	andi rd, rs1, imm	[insns-andi]
<b>✓</b>	<b>✓</b>	slli rd, rs1, imm	[insns-slli]
<b>✓</b>	<b>✓</b>	srli rd, rs1, imm	[insns-srli]
<b>✓</b>	<b>✓</b>	srai rd, rs1, imm	[insns-srai]
<b>✓</b>	<b>✓</b>	add <i>rd</i> , <i>rs1</i> , <i>rs2</i>	[insns-add]
<b>✓</b>	<b>✓</b>	sub rd, rs1, rs2	[insns-sub]
<b>✓</b>	<b>✓</b>	sll <i>rd</i> , <i>rs1</i> , <i>rs2</i>	[insns-sll]
<b>✓</b>	<b>✓</b>	slt rd, rs1, rs2	[insns-slt]
<b>✓</b>	<b>✓</b>	sltu <i>rd</i> , <i>rs1</i> , <i>rs2</i>	[insns-sltu]
<b>✓</b>	<b>✓</b>	xor rd, rs1, rs2	[insns-xor]
<b>✓</b>	<b>✓</b>	srl rd, rs1, rs2	[insns-srl]
<b>✓</b>	<b>✓</b>	sra rd, rs1, rs2	[insns-sra]
<b>✓</b>	<b>✓</b>	or rd, rs1, rs2	[insns-or]
<b>✓</b>	<b>✓</b>	and rd, rs1, rs2	[insns-and]
	<b>✓</b>	addiw rd, rs1, imm	[insns-addiw]
	<b>✓</b>	slliw rd, rs1, imm	[insns-slliw]
	<b>✓</b>	srliw rd, rs1, imm	[insns-srliw]

RV32	RV64	Mnemonic	Instruction
	<b>✓</b>	sraiw rd, rs1, imm	[insns-sraiw]
	<b>✓</b>	addw rd, rs1, rs2	[insns-addw]
	<b>✓</b>	subw rd, rs1, rs2	[insns-subw]
	<b>✓</b>	sllw rd, rs1, rs2	[insns-sllw]
	<b>✓</b>	srlw rd, rs1, rs2	[insns-srlw]
	<b>✓</b>	sraw rd, rs1, rs2	[insns-sraw]

## 5.4.2. RVM (Multiply)

Multiplication is included; division and remaindering excluded.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	mul rd, rs1, rs2	[insns-mul]
<b>√</b>	<b>✓</b>	mulh rd, rs1, rs2	[insns-mulh]
<b>√</b>	<b>✓</b>	mulhsu rd, rs1, rs2	[insns-mulhsu]
<b>✓</b>	<b>✓</b>	mulhu rd, rs1, rs2	[insns-mulhu]
	<b>✓</b>	mulw rd, rs1, rs2	[insns-mulw]

## 5.4.3. RVC (Compressed)

Same criteria as in RVI. Organised by quadrants.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	c.nop	[insns-c_nop]
<b>✓</b>	<b>✓</b>	c.addi	[insns-c_addi]
<b>✓</b>	<b>✓</b>	c.addiw	[insns-c_addiw]
<b>✓</b>	<b>✓</b>	c.lui	[insns-c_lui]
<b>✓</b>		c.srli	[insns-c_srli]
<b>✓</b>		c.srai	[insns-c_srai]
<b>✓</b>	<b>✓</b>	c.andi	[insns-c_andi]
<b>✓</b>	<b>✓</b>	c.sub	[insns-c_sub]
<b>✓</b>	<b>✓</b>	c.xor	[insns-c_xor]
<b>✓</b>	<b>✓</b>	c.or	[insns-c_or]
<b>✓</b>	<b>✓</b>	c.and	[insns-c_and]
<b>✓</b>	<b>✓</b>	c.subw	[insns-c_subw]
<b>✓</b>	<b>✓</b>	c.addw	[insns-c_addw]

RV32	RV64	Mnemonic	Instruction
<b>✓</b>		c.slli	[insns-c_slli]
<b>✓</b>	<b>✓</b>	c.mv	[insns-c_mv]
<b>✓</b>	<b>✓</b>	c.add	[insns-c_add]

# 5.4.4. RVK (Scalar Cryptography)

All K-specific, non-debug instructions included. Additionally, the <u>sentropy</u> CSR polling latency should be independent of ES16 output <u>seed</u> bits, as that is a sensitive security parameter. See <u>Section B.3.5</u>.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>		aes32dsi	AES final round decrypt (RV32)
<b>✓</b>		aes32dsmi	AES middle round decrypt (RV32)
<b>✓</b>		aes32esi	AES final round encrypt (RV32)
<b>✓</b>		aes32esmi	AES middle round encrypt (RV32)
	<b>✓</b>	aes64ds	AES decrypt final round (RV64)
	<b>✓</b>	aes64dsm	AES decrypt middle round (RV64)
	<b>✓</b>	aes64es	AES encrypt final round instruction (RV64)
	<b>✓</b>	aes64esm	AES encrypt middle round instruction (RV64)
	<b>✓</b>	aes64im	AES Decrypt KeySchedule MixColumns (RV64)
	<b>✓</b>	aes64ks1i	AES Key Schedule Instruction 1 (RV64)
	<b>✓</b>	aes64ks2	AES Key Schedule Instruction 2 (RV64)
<b>✓</b>	<b>✓</b>	sha256sig0	SHA2-256 Sigma0 instruction
<b>✓</b>	<b>✓</b>	sha256sig1	SHA2-256 Sigma1 instruction
<b>✓</b>	<b>✓</b>	sha256sum0	SHA2-256 Sum0 instruction
<b>✓</b>	<b>✓</b>	sha256sum1	SHA2-256 Sum1 instruction
<b>✓</b>		sha512sig0h	SHA2-512 Sigma0 high (RV32)
<b>✓</b>		sha512sig0l	SHA2-512 Sigma0 low (RV32)
<b>✓</b>		sha512sig1h	SHA2-512 Sigma1 high (RV32)
<b>✓</b>		sha512sig1l	SHA2-512 Sigma1 low (RV32)
<b>✓</b>		sha512sum0r	SHA2-512 Sum0 (RV32)
<b>✓</b>		sha512sum1r	SHA2-512 Sum1 (RV32)
	<b>✓</b>	sha512sig0	SHA2-512 Sigma0 instruction (RV64)
	<b>✓</b>	sha512sig1	SHA2-512 Sigma1 instruction (RV64)
	<b>✓</b>	sha512sum0	SHA2-512 Sum0 instruction (RV64)
	<b>✓</b>	sha512sum1	SHA2-512 Sum1 instruction (RV64)

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	sm3p0	SM3 P0 transform
<b>✓</b>	<b>✓</b>	sm3p1	SM3 P1 transform
<b>✓</b>	<b>✓</b>	sm4ed	SM4 Encrypt/Decrypt Instruction
<b>✓</b>	<b>✓</b>	sm4ks	SM4 Key Schedule Instruction

## 5.4.5. RVB (Bitmanip)

The Zbkb, Zbkx and Zbkx extensions are included in their entirety.



Note to implementers

Recall that rev, zip and unzip are pseudo-instructions representing specific instances of grevi, shfli and unshfli respectively.

RV32	RV64	Mnemonic	Instruction
<b>✓</b>	<b>✓</b>	clmul	Carry-less multiply (low-part)
<b>✓</b>	<b>✓</b>	clmulh	Carry-less multiply (high-part)
<b>✓</b>	<b>✓</b>	xperm.n	Crossbar permutation (nibbles)
<b>✓</b>	<b>✓</b>	xperm.b	Crossbar permutation (bytes)
<b>✓</b>	<b>✓</b>	ror	Rotate right (Register)
<b>✓</b>	<b>✓</b>	rol	Rotate left (Register)
<b>✓</b>	<b>✓</b>	rori	Rotate right (Immediate)
	<b>✓</b>	roriw	Rotate right Word (Immediate)
<b>✓</b>	<b>✓</b>	andn	AND with inverted operand
<b>✓</b>	<b>✓</b>	orn	OR with inverted operand
<b>✓</b>	<b>✓</b>	xnor	Exclusive NOR
<b>✓</b>	<b>✓</b>	pack	Pack low halves of registers
<b>✓</b>	<b>✓</b>	packh	Pack low bytes of registers
	<b>✓</b>	packw	Pack low 16-bits of registers (RV64)
<b>✓</b>	<b>✓</b>	rev.b	Reverse bits in bytes
<b>✓</b>	<b>✓</b>	rev8	Byte-reverse register
<b>✓</b>		zip	Bit interleave
<b>✓</b>		unzip	Bit deinterleave

# Chapter 6. Bibliography

- [1] "SAIL ISA Specification Language." [Online]. Available: github.com/rems-project/sail.
- [2] "RISC-V Bit manipulation extension repository." [Online]. Available: github.com/riscv/riscv-bitmanip.
- [3] "RISC-V Bit manipulation extension draft proposal." [Online]. Available: github.com/riscv/riscv-bitmanip/blob/master/bitmanip-draft.pdf.
- [4] "GB/T 32905-2016: SM3 Cryptographic Hash Algorithm." Also GM/T 0004-2012. Standardization Administration of China, Aug. 2016, [Online]. Available: www.gmbz.org.cn/upload/2018-07-24/1532401392982079739.pdf.
- [5] "GB/T 32907-2016: SM4 Block Cipher Algorithm." Also GM/T 0002-2012. Standardization Administration of China, Aug. 2016, [Online]. Available: www.gmbz.org.cn/upload/2018-04-04/1522788048733065051.pdf.
- [6] AMD, "AMD Random Number Generator." Advanced Micro Devices, Jun. 2017, [Online]. Available: www.amd.com/system/files/TechDocs/amd-random-number-generator.pdf.
- [7] R. J. Anderson, Security engineering a guide to building dependable distributed systems (3. ed.). Wiley, 2020.
- [8] K. Aoki *et al.*, "Camellia: A 128-bit block cipher suitable for multiple platforms—design andanalysis," in *International Workshop on Selected Areas in Cryptography*, 2000, pp. 39–56.
- [9] ARM, "ARM TrustZone True Random Number Generator: Technical Reference Manual." ARM, May 2017, [Online]. Available: infocenter.arm.com/help/index.jsp?topic=/com.arm.doc.100976\_0000\_00\_en.
- [10] P. Bak, "The Devil's Staircase," *Phys. Today*, vol. 39, no. 12, pp. 38–45, Dec. 1986, doi: 10.1063/1.881047.
- [11] S. Banik et al., "Midori: A block cipher for low energy," in *International Conference on the Theory and Application of Cryptology and Information Security*, 2015, pp. 411–436.
- [12] S. Banik, S. K. Pandey, T. Peyrin, Y. Sasaki, S. M. Sim, and Y. Todo, "GIFT: a small present," in *International Conference on Cryptographic Hardware and Embedded Systems*, 2017, pp. 321–345.
- [13] R. Bardou, R. Focardi, Y. Kawamoto, L. Simionato, G. Steel, and J.-K. Tsay, "Efficient Padding Oracle Attacks on Cryptographic Hardware," in *Advances in Cryptology CRYPTO 2012 32nd Annual Cryptology Conference, Santa Barbara, CA, USA, August 19-23, 2012. Proceedings*, 2012, vol. 7417, pp. 608–625, doi: 10.1007/978-3-642-32009-5\_36.
- [14] E. Barker and J. Kelsey, "Recommendation for Random Number Generation Using Deterministic Random Bit Generators." NIST Special Publication SP 800-90A Revision 1, Jun. 2015, doi: 10.6028/NIST.SP.800-90Ar1.
- [15] E. Barker, J. Kelsey, A. Roginsky, M. S. Turan, D. Buller, and A. Kaufer, "Recommendation for Random Bit Generator (RBG) Constructions." Draft NIST Special Publication SP 800-90C, Mar. 2021.
- [16] M. Baudet, D. Lubicz, J. Micolod, and A. Tassiaux, "On the Security of Oscillator-Based Random Number Generators," *J. Cryptology*, vol. 24, no. 2, pp. 398–425, 2011, doi: 10.1007/s00145-010-9089-3.
- [17] C. Beierle *et al.*, "The SKINNY family of block ciphers and its low-latency variant MANTIS," in *Annual International Cryptology Conference*, 2016, pp. 123–153.
  - [18] L. Blum, M. Blum, and M. Shub, "A Simple Unpredictable Pseudo-Random Number Generator," SIAM J.

- Comput., vol. 15, no. 2, pp. 364-383, 1986, doi: 10.1137/0215025.
- [19] M. Blum, "Independent unbiased coin flips from a correlated biased source A finite state Markov chain," *Combinatorica*, vol. 6, no. 2, pp. 97–108, 1986, doi: 10.1007/BF02579167.
- [20] A. Bogdanov *et al.*, "PRESENT: An ultra-lightweight block cipher," in *International workshop on cryptographic hardware and embedded systems*, 2007, pp. 450–466.
- [21] C. Criteria, "Common Methodology for Information Technology Security Evaluation: Evaluation methodology." Specification: Version 3.1 Revision 5, Apr. 2017, [Online]. Available: commoncriteriaportal.org/cc/.
- [22] M. Dworkin, "Recommendation for Block Cipher Modes of Operation: Galois/Counter Mode (GCM) and GMAC." NIST Special Publication SP 800-38D, Nov. 2007, [Online]. Available: doi.org/10.6028/NIST.SP.800-38D.
- [23] D. Evtyushkin and D. V. Ponomarev, "Covert Channels through Random Number Generator: Mechanisms, Capacity Estimation and Mitigations," in *Proceedings of the 2016 ACM SIGSAC Conference on Computer and Communications Security, Vienna, Austria, October 24-28, 2016*, 2016, pp. 843–857, doi: 10.1145/2976749.2978374.
- [24] L. K. Grover, "A Fast Quantum Mechanical Algorithm for Database Search," in *Proceedings of the Twenty-eighth Annual ACM Symposium on Theory of Computing*, 1996, pp. 212–219, doi: 10.1145/237814.237866.
- [25] A. Hajimiri and T. H. Lee, "A general theory of phase noise in electrical oscillators," *IEEE Journal of Solid-State Circuits*, vol. 33, no. 2, pp. 179–194, 1998, doi: 10.1109/4.658619.
- [26] A. Hajimiri, S. Limotyrakis, and T. H. Lee, "Jitter and phase noise in ring oscillators," \_ IEEE Journal of Solid-State Circuits\_, vol. 34, no. 6, pp. 790–804, Jun. 1999, doi: 10.1109/4.766813.
- [27] M. Hamburg, P. Kocher, and M. E. Marson, "Analysis of Intel's Ivy Bridge Digital Random Number Generator." Technical Report, Cryptography Research (Prepared for Intel), Mar. 2012.
- [28] D. Hurley-Smith and J. C. Hernández-Castro, "Quantum Leap and Crash: Searching and Finding Bias in Quantum Random Number Generators," *ACM Transactions on Privacy and Security*, vol. 23, no. 3, pp. 1–25, Jun. 2020, doi: 10.1145/3403643.
- [29] ISO, "Information technology Security techniques Testing methods for the mitigation of non-invasive attack classes against cryptographic modules," International Organization for Standardization, Standard ISO/IEC 17825:2016, 2016.
- [30] ISO/IEC, "IT Security techniques Hash-functions Part 3: Dedicated hash-functions." ISO/IEC Standard 10118-3:2018, 2018.
- [31] ISO/IEC, "Information technology Security techniques Encryption algorithms Part 3: Block ciphers. Amendment 2: SM4." ISO/IEC Standard 18033-3:2010/DAmd 2 (en), 2018.
- [32] ITU, "Quantum noise random number generator architecture." International Telecommunications Union, Nov. 2019, [Online]. Available: www.itu.int/rec/T-REC-X.1702-201911-I/en.
- [33] S. Jaques, M. Naehrig, M. Roetteler, and F. Virdia, "Implementing Grover Oracles for Quantum Key Search on AES and LowMC," in *Advances in Cryptology EUROCRYPT 2020 39th Annual International Conference on the Theory and Applications of Cryptographic Techniques, Zagreb, Croatia, May 10-14, 2020, Proceedings, Part II,* 2020, vol. 12106, pp. 280–310, doi: 10.1007/978-3-030-45724-2 10.

- [34] D. Karaklajic, J.-M. Schmidt, and I. Verbauwhede, "Hardware Designer's Guide to Fault Attacks," *IEEE Trans. Very Large Scale Integr. Syst.*, vol. 21, no. 12, pp. 2295–2306, 2013, doi: 10.1109/TVLSI.2012.2231707.
- [35] W. Killmann and W. Schindler, "A Proposal for: Functionality classes and evaluation methodology for true (physical) random number generators." BSI, Sep. 2001, [Online]. Available: www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Zertifizierung/Interpretationen/
- AIS\_31\_Functionality\_classes\_evaluation\_methodology\_for\_true\_RNG\_e.html.
- [36] W. Killmann and W. Schindler, "A Proposal for: Functionality classes for random number generators." BSI, Sep. 2011, [Online]. Available: www.bsi.bund.de/SharedDocs/Downloads/DE/BSI/Zertifizierung/Interpretationen/AIS\_31\_Functionality\_classes\_for\_random\_number\_generators\_e.html.
- [37] D. Kwon *et al.*, "New block cipher: ARIA," in *International Conference on Information Security and Cryptology*, 2003, pp. 432–445.
- [38] P. Lacharme, "Post-Processing Functions for a Biased Physical Random Number Generator," in Fast Software Encryption, 15th International Workshop, FSE 2008, Lausanne, Switzerland, February 10-13, 2008, Revised Selected Papers, 2008, vol. 5086, pp. 334–342, doi: 10.1007/978-3-540-71039-4 21.
- [39] R. B. Lee, Z. J. Shi, Y. L. Yin, R. L. Rivest, and M. J. B. Robshaw, "On permutation operations in cipher design," in *International Conference on Information Technology: Coding and Computing*, 2004. Proceedings. ITCC 2004., 2004, vol. 2, pp. 569–577.
- [40] J. S. Liberty *et al.*, "True hardware random number generation implemented in the 32-nm SOI POWER7+ processor," *IBM J. Res. Dev.*, vol. 57, no. 6, 2013, doi: 10.1147/JRD.2013.2279599.
- [41] A. T. Markettos and S. W. Moore, "The Frequency Injection Attack on Ring-Oscillator-Based True Random Number Generators," in *Cryptographic Hardware and Embedded Systems CHES 2009, 11th International Workshop, Lausanne, Switzerland, September 6-9, 2009, Proceedings,* 2009, vol. 5747, pp. 317–331, doi: 10.1007/978-3-642-04138-9\_23.
- [42] B. Marshall, G. R. Newell, D. Page, M.-J. O. Saarinen, and C. Wolf, "The design of scalar AES Instruction Set Extensions for RISC-V," *IACR Transactions on Cryptographic Hardware and Embedded Systems*, vol. 2021, no. 1, pp. 109–136, Dec. 2020, doi: 10.46586/tches.v2021.i1.109-136.
- [43] B. Marshall, D. Page, and T. Pham, "XCrypto: a cryptographic ISE for RISC-V," 1.0.0, 2019. [Online]. Available: github.com/scarv/xcrypto.
- [44] J. P. Mechalas, "Intel Digital Random Number Generator (DRNG) Software Implementation Guide." Intel Technical Report, Version 2.1, Oct. 2018, [Online]. Available: software.intel.com/content/www/us/en/develop/articles/intel-digital-random-number-generator-drng-software-implementation-guide.html.
- [45] D. Moghimi, B. Sunar, T. Eisenbarth, and N. Heninger, "TPM-FAIL: TPM meets Timing and Lattice Attacks," in *29th USENIX Security Symposium (USENIX Security 20)*, Aug. 2020, pp. To appear, [Online]. Available: www.usenix.org/conference/usenixsecurity20/presentation/moghimi-tpm.
- [46] S. Müller, "Documentation and Analysis of the Linux Random Number Generator, Version 3.6." Prepared for BSI by atsec information security GmbH, Apr. 2020, [Online]. Available: www.bsi.bund.de/SharedDocs/Downloads/EN/BSI/Publications/Studies/LinuxRNG/LinuxRNG\_EN.pdf.
- [47] NIST, "Advanced Encryption Standard (AES)." Federal Information Processing Standards Publication FIPS 197, Nov. 2001, [Online]. Available: doi.org/10.6028/NIST.FIPS.197.
- [48] NIST, "Digital Signature Standard (DSS)." Federal Information Processing Standards Publication FIPS 186-4, Jul. 2013, [Online]. Available: doi.org/10.6028/NIST.FIPS.186-4.

- [49] NIST, "Secure Hash Standard (SHS)." Federal Information Processing Standards Publication FIPS 180-4, Aug. 2015, [Online]. Available: doi.org/10.6028/NIST.FIPS.180-4.
- [50] NIST, "SHA-3 Standard: Permutation-Based Hash and Extendable-Output Functions." Federal Information Processing Standards Publication FIPS 202, Aug. 2015, [Online]. Available: doi.org/10.6028/NIST.FIPS.202.
- [51] NIST, "Submission Requirements and Evaluation Criteria for the Post-Quantum Cryptography Standardization Process." Official Call for Proposals, National Institute for Standards and Technology, Dec. 2016, [Online]. Available: csrc.nist.gov/groups/ST/post-quantum-crypto/documents/call-for-proposals-final-dec-2016.pdf.
- [52] NIST, "Security Requirements for Cryptographic Modules." Federal Information Processing Standards Publication FIPS 140-3, Mar. 2019, [Online]. Available: doi.org/10.6028/NIST.FIPS.140-3.
- [53] NIST and CCCS, "Implementation Guidance for FIPS 140-3 and the Cryptographic Module Validation Program." CMVP, May 2021, [Online]. Available: csrc.nist.gov/CSRC/media/Projects/cryptographic-module-validation-program/documents/fips%20140-3/FIPS%20140-3%20IG.pdf.
- [54] NSA/CSS, "Commercial National Security Algorithm Suite." Aug. 2015, [Online]. Available: apps.nsa.gov/iaarchive/programs/iad-initiatives/cnsa-suite.cfm.
- [55] Rambus, "TRNG-IP-76 / EIP-76 Family of FIPS Approved True Random Generators." Commercial Crypto IP. Formerly (2017) available from Inside Secure., 2020, [Online]. Available: www.rambus.com/security/crypto-accelerator-hardware-cores/basic-crypto-blocks/trng-ip-76/.
  - [56] M.-J. O. Saarinen, "Lightweight SHA ISA." github.com/mjosaarinen/lwsha\_isa, Mar. 2020.
  - [57] M.-J. O. Saarinen, "Lightweight AES ISA." github.com/mjosaarinen/lwaes isa, Jan. 2020.
- [58] M.-J. O. Saarinen, "On Entropy and Bit Patterns of Ring Oscillator Jitter." Preprint, Feb. 2021, [Online]. Available: arxiv.org/abs/2102.02196.
- [59] M.-J. O. Saarinen, G. R. Newell, and B. Marshall, "Building a Modern TRNG: An Entropy Source Interface for RISC-V," in 4th Workshop on Attacks and Solutions in Hardware Security (ASHES'20), November 13, 2020, Virtual Event, USA., Nov. 2020, pp. 93–102, doi: 10.1145/3411504.3421212.
- [60] M.-J. O. Saarinen, G. R. Newell, and B. Marshall, "Development of The RISC-V Entropy Source Interface." Submitted For Publication, Jun. 2021, [Online]. Available: eprint.iacr.org/2029/866.
- [61] P. W. Shor, "Algorithms for quantum computation: Discrete logarithms and factoring," in *35th Annual Symposium on Foundations of Computer Science, Santa Fe, New Mexico, USA, 20-22 November 1994*, 1994, pp. 124–134, doi: 10.1109/SFCS.1994.365700.
- [62] T. Suzaki, K. Minematsu, S. Morioka, and E. Kobayashi, "TWINE: A Lightweight Block Cipher for Multiple Platforms," in *International Conference on Selected Areas in Cryptography*, 2012, pp. 339–354.
- [63] M. S. Turan, E. Barker, J. Kelsey, K. A. McKay, M. L. Baish, and M. Boyle, "Recommendation for the Entropy Sources Used for Random Bit Generation." NIST Special Publication SP 800-90B, Jan. 2018, doi: 10.6028/NIST.SP.800-90B.
- [64] B. Valtchanov, V. Fischer, A. Aubert, and F. Bernard, "Characterization of randomness sources in ring oscillator-based true random number generators in FPGAs," in *13th IEEE International Symposium on Design and Diagnostics of Electronic Circuits and Systems, DDECS 2010, Vienna, Austria, April 14-16, 2010*, 2010, pp. 48–53, doi: 10.1109/DDECS.2010.5491819.
  - [65] M. Varchola and M. Drutarovský, "New High Entropy Element for FPGA Based True Random Number

Generators," in *Cryptographic Hardware and Embedded Systems, CHES 2010, 12th International Workshop, Santa Barbara, CA, USA, August 17-20, 2010. Proceedings*, 2010, vol. 6225, pp. 351–365, doi: 10.1007/978-3-642-15031-9\_24.

- [66] J. von Neumann, "Various Techniques Used in Connection with Random Digits," in *Monte Carlo Method*, vol. 12, A. S. Householder, G. E. Forsythe, and H. H. Germond, Eds. Washington, DC: US Government Printing Office, 1951, pp. 36–38.
- [67] W. Zhang, Z. Bao, D. Lin, V. Rijmen, B. Yang, and I. Verbauwhede, "RECTANGLE: a bit-slice lightweight block cipher suitable for multiple platforms," *Science China Information Sciences*, vol. 58, no. 12, pp. 1–15, 2015.

# Appendix A: Instruction Rationale

This section contains various rationale, design notes and usage recommendations for the instructions in the scalar cryptography extension. It also tries to record how the designs of instructions were derived, or where they were contributed from.

## A.1. AES Instructions

The 32-bit instructions were derived from work in [57] and contributed to the RISC-V cryptography extension. The 64-bit instructions were developed collaboratively by task group members on our mailing list.

Supporting material, including rationale and a design space exploration for all of the AES instructions in the specification can be found in the paper "The design of scalar AES Instruction Set Extensions for RISC-V" [42].

## A.2. SHA2 Instructions

These instructions were developed based on academic work at the University of Bristol as part of the XCrypto project [43], and contributed to the RISC-V cryptography extension.

The RV32 SHA2-512 instructions were based on this work, and developed in [56], before being contributed in the same way.

## A.3. SM3 and SM4 Instructions

The SM4 instructions were derived from work in [57], and are hence very similar to the RV32 AES instructions.

The SM3 instructions were inspired by the SHA2 instructions, and based on development work done in [56], before being contributed to the RISC-V cryptography extension.

## A.4. Bitmanip Instructions for Cryptography

Many of the primitive operations used in symmetric key cryptography and cryptographic hash functions are well supported by the RISC-V Bitmanip [2] extensions.



This section repeats much of the information in Zbkb, Zbkc and Zbkx, but includes more rationale.

We proposed that the scalar cryptographic extension *reuse* a subset of the instructions from the Bitmanip extensions Zb[abc] directly. Specifically, this would mean that a core implementing *either* the scalar cryptographic extensions, *or* the Zb[abc], *or* both, would be required to implement these instructions.

#### A.4.1. Rotations

```
RV32, RV64:

ror rd, rs1, rs2

rol rd, rs1, rs2

rori rd, rs1, imm

RV64 only:

rorw rd, rs1, rs2

rolw rd, rs1, rs2

roriw rd, rs1, imm
```

See [3] (Section 3.1.1) for details of these instructions.

Notes to software developers



Standard bitwise rotation is a primitive operation in many block ciphers and hash functions; it features particularly in the ARX (Add, Rotate, Xor) class of block ciphers and stream ciphers.

- Algorithms making use of 32-bit rotations: SHA256, AES (Shift Rows), ChaCha20, SM3.
- Algorithms making use of 64-bit rotations: SHA512, SHA3.

#### A.4.2. Bit & Byte Permutations

```
RV32:
    rev.b    rd, rs1 // grevi rd, rs1, 7 - Reverse bits in bytes
    rev8    rd, rs1 // grevi rd, rs1, 24 - Reverse bytes in 32-bit word

RV64:
    rev.b    rd, rs1 // grevi rd, rs1, 7 - Reverse bits in bytes
    rev8    rd, rs1 // grevi rd, rs1, 56 - Reverse bytes in 64-bit word
```

The scalar cryptography extension provides the following instructions for manipulating the bit and byte endianness of data. They are all parameterisations of the Generalised Reverse with Immediate (grevi instruction. The scalar cryptography extension requires *only* the above instances of grevi be implemented, which can be invoked via their pseudo-ops.

The full specification of the grevi instruction is available in [3] (Section 2.2.2).



Notes to software developers

Reversing bytes in words is very common in cryptography when setting a standard endianness for input and output data. Bit reversal within bytes is used for implementing the GHASH component of Galois/Counter Mode (GCM) [22].

```
RV32:

zip rd, rs1 // shfli rd, rs1, 15 - Bit interleave

unzip rd, rs1 // unshfli rd, rs1, 15 - Bit de-interleave
```

The zip and unzip pseudo-ops are specific instances of the more general shfli and unshfli instructions. The scalar cryptography extension requires *only* the above instances of [un]shfli be implemented, which can be invoked via their pseudo-ops. Only RV32 implementations require these instructions.

The full specification of the **shfli** instruction is available in [3] (Section 2.2.3).



Notes to software developers

These instructions perform a bit-interleave (or de-interleave) operation, and are useful for implementing the 64-bit rotations in the SHA3 [50] algorithm on a 32-bit architecture. On RV64, the relevant operations in SHA3 can be done natively using rotation instructions, so zip and unzip are not required.

#### A.4.3. Carry-less Multiply

```
RV32, RV64:
clmul rd, rs1, rs2
clmulh rd, rs1, rs2
```

See [3] (Section 2.6) for details of this instruction. See Chapter 5 for additional implementation requirements for these instructions, related to data independent execution latency.



Notes to software developers

As is mentioned there, obvious cryptographic use-cases for carry-less multiply are for Galois Counter Mode (GCM) block cipher operations. GCM is recommended by NIST as a block cipher mode of operation [22], and is the only *required* mode for the TLS 1.3 protocol.

#### A.4.4. Logic With Negate

```
RV32, RV64:

andn rd, rs1, rs2

orn rd, rs1, rs2

xnor rd, rs1, rs2
```

See [3] (Section 2.1.3) for details of these instructions. These instructions are useful inside hash functions, block ciphers and for implementing software based side-channel countermeasures like masking. The andn instruction is also useful for constant time word-select in systems without the ternary Bitmanip cmov instruction.



Notes to software developers

In the context of Cryptography, these instructions are useful for: SHA3/Keccak Chi step, Bit-sliced function implementations, Software based power/EM side-channel countermeasures based on masking.

#### A.4.5. Packing

```
RV32, RV64: RV64: pack rd, rs1, rs2 packw rd, rs1, rs2 packh rd, rs1, rs2
```

See [3] (Section 2.1.4) for details of these instructions.

Notes to software developers



The pack\* instructions are useful for re-arranging halfwords within words, and generally getting data into the right shape prior to applying transforms. This is particularly useful for cryptographic algorithms which pass inputs around as (potentially un-aligned) byte strings, but can operate on words made out of those byte strings. This occurs (for example) in AES when loading blocks and keys (which may not be word aligned) into registers to perform the round functions.

#### A.4.6. Crossbar Permutation Instructions

```
RV32, RV64:

xperm.n rd, rs1, rs2

xperm.b rd, rs1, rs2
```

See [3] (Section 2.2.4) for a complete description of this instruction.

The xperm.n instruction operates on nibbles. GPR[rs1] contains a vector of XLEN/4 4-bit elements. GPR[rs2] contains a vector of XLEN/4 4-bit indexes. The result is each element in GPR[rs2] replaced by the indexed element in GPR[rs1], or zero if the index into GPR[rs2] is out of bounds.

The xperm.b instruction operates on bytes. GPR[rs1] contains a vector of XLEN/8 8-bit elements. GPR[rs2] contains a vector of XLEN/8 8-bit indexes. The result is each element in GPR[rs2] replaced by the indexed element in GPR[rs1], or zero if the index into GPR[rs2] is out of bounds.

Notes to software developers

The instruction can be used to implement arbitrary bit permutations. For cryptography, they can accelerate bit-sliced implementations, permutation layers of block ciphers, masking based countermeasures and SBox operations.



Lightweight block ciphers using 4-bit SBoxes include: PRESENT [20], Rectangle [67], GIFT [12], Twine [62], Skinny, MANTIS [17], Midori [11].

National ciphers using 8-bit SBoxes include: Camellia [8] (Japan), Aria [37] (Korea), AES [47] (USA, Belgium), SM4 [5] (China) Kuznyechik (Russia).

All of these SBoxes can be implemented efficiently, in constant time, using the xperm.b instruction [1]. Note that this technique is also suitable for masking based side-channel countermeasures.

[1] svn.clairexen.net/handicraft/2020/lut4perm/demo02.cc

# Appendix B: Entropy Source Rationale and Recommendations

The security of cryptographic systems is based on secret bits and keys. These bits need to be random and originate from cryptographically secure Random Bit Generators (RBGs). An Entropy Source (ES) is required to construct secure RBGs.

This appendix focuses on the rationale, security, self-certification, and implementation aspects of entropy sources. Hence we also discuss non-ISA (non-normative) system features that may be needed for cryptographic standards compliance and security testing.

## B.1. Checklists for Design and Self-Certification

While entropy source implementations do not have to be certified designs, RISC-V expects that they behave in a compatible manner and do not create unnecessary security risks to users. Self-evaluation and testing following appropriate security standards is usually needed to achieve this.

- ISA Architectural Tests. Verify, to the extent possible, that RISC-V ISA requirements in this specification are correctly implemented. This includes the state transitions (Section 4.1 and Section B.6), access control (Section 4.3), and that sentropy ES16 seed words can only be read destructively. The scope of RISC-V ISA architectural tests are those behaviors that are independent of the physical entropy source details. A smoke test ES module may be helpful in design phase.
- Technical justification for entropy. This may take the form of a stochastic model or a heuristic argument that explains why the noise source output is from a random, rather than pseudorandom (deterministic) process, and is not directly observable. A complete physical model is not necessary; research literature can be cited. For example, one can show that a good ring oscillator noise derives an amount of physical entropy from local, spontaneously occurring Johnson-Nyquist thermal noise [58], and is therefore not merely "random-looking".
- Entropy Source Design Review. An entropy source is more than a noise source, and has features such as health tests (Section B.4), a conditioner (Section B.2.2), and a security boundary with clearly defined interfaces. One may tabulate the SHALL statements of SP 800-90B [63], FIPS 140-3 Implementation Guidance [53], AIS-31 [36] or other standards being used. Official and non-official checklist tables are available: github.com/usnistgov/90B-Shall-Statements
- Experimental Tests. The raw noise source is subjected to entropy estimation (H<sub>original</sub>) defined in NIST 800-90B, Section 3.1.3 [63]. The interface described in Section B.6 can used be to record a dataset for this purpose. For SP 800-90B, NIST has made its min-entropy estimation package freely available: github.com/usnistgov/SP800-90B\_EntropyAssessment One also needs to also show experimentally that the conditioner and health test components work appropriately to meet the ES16 output entropy requirements of Section 4.2.
- **Resilience.** Above physical engineering steps should consider the operational environment of the device, which may be unexpected or hostile (actively attempting to exploit vulnerabilities in the design).

See Section B.5 for a discussion of various implementation options.



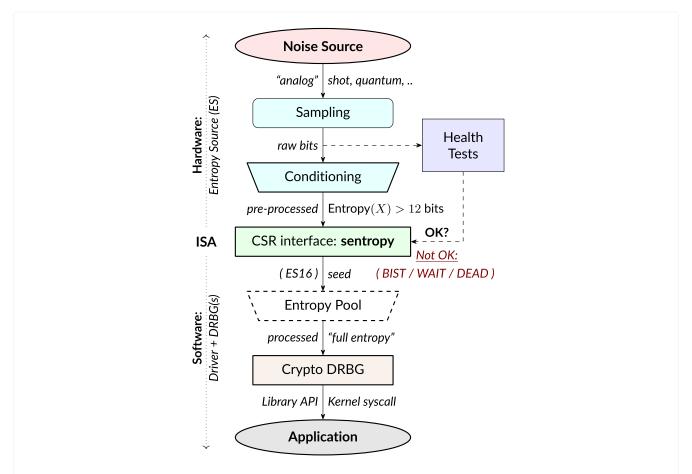
It is one of the goals of the RISC-V Entropy Source specification that a standard 90B Entropy Source Module or AIS-31 RNG IP may be licensed from a third party and integrated with a RISC-V processor design. Compared to older (FIPS 140-2) RNG and DRBG modules, an entropy source module may have a relatively small area (just a few thousand NAND2 gate equivalent). CMVP is introducing an "Entropy Source Validation Scope" which allows 90B validations to be re-used for different (FIPS 140-3) modules.

## B.2. Standards and Terminology

As a fundamental security function, the generation of random numbers is governed by numerous standards and technical evaluation methods, the main ones being FIPS 140-3 [52, 53] required for U.S. Federal use, and Common Criteria Methodology [21] used in high-security evaluations internationally.

Note that FIPS 140-3 is a significantly updated standard compared to its predecessor FIPS 140-2 and is only coming into use in the 2020s.

These standards set many of the technical requirements for the RISC-V entropy source design, and we use their terminology if possible.



The sentropy CSR provides an Entropy Source (ES), not a stateful random number generator. As a result, it can support arbitrary security levels. Cryptographic (AES, SHA-2/3) ISA Extensions can be used to construct high-speed DRBGs that are seeded from the entropy source.

## B.2.1. Entropy Source (ES)

Entropy sources are built by sampling and processing data from a noise source (Section B.5.1). We will only consider physical sources of true randomness in this work. Since these are directly based on natural phenomena and are subject to environmental conditions (which may be adversarial), they require features that monitor the "health" and quality of those sources.

The requirements for physical entropy sources are specified in NIST SP 800-90B [63] (Section 4.2.1) for U.S. Federal FIPS 140-3 [52] evaluations and in BSI AIS-31 [35, 36] (Section 4.2.2) for high-security Common Criteria evaluations. There is some divergence in the types of health tests and entropy metrics mandated in these standards, and RISC-V enables support for both alternatives.

#### B.2.2. Conditioning: Cryptographic and Non-Cryptographic

Raw physical randomness (noise) sources are rarely statistically perfect, and some generate very large amounts of bits, which need to be "debiased" and reduced to a smaller number of bits. This process is called conditioning. A secure hash function is an example of a cryptographic conditioner. It is important to note that even though hashing may make any data look random, it does not increase its entropy content.

Non-cryptographic conditioners and extractors such as von Neumann's "debiased coin tossing" [66] are easier to implement efficiently but may reduce entropy content (in individual bits removed) more than cryptographic hashes, which mix the input entropy very efficiently. However, they do not require cryptanalytic or computational hardness assumptions and are therefore inherently more future-proof. See Section B.5.5 for a more detailed discussion.

#### B.2.3. Pseudorandom Number Generator (PRNG)

Pseudorandom Number Generators (PRNGs) use deterministic mathematical formulas to create abundant random numbers from a smaller amount of "seed" randomness. PRNGs are also divided into cryptographic and non-cryptographic ones.

Non-cryptographic PRNGs, such as LFSRs and the linear-congruential generators found in many programming libraries, may generate statistically satisfactory random numbers but must never be used for cryptographic keying. This is because they are not designed to resist *cryptanalysis*; it is usually possible to take some output and mathematically derive the "seed" or the internal state of the PRNG from it. This is a security problem since knowledge of the state allows the attacker to compute future or past outputs.

#### B.2.4. Deterministic Random Bit Generator (DRBG)

Cryptographic PRNGs are also known as Deterministic Random Bit Generators (DRBGs), a term used by SP 800-90A [14]. A strong cryptographic algorithm such as AES [47] or SHA-2/3 [50, 49] is used to produce random bits from a seed. The secret seed material is like a cryptographic key; determining the seed from the DRBG output is as hard as breaking AES or a strong hash function. This also illustrates that the seed/key needs to be long enough and come from a trusted Entropy Source. The DRBG should still be frequently refreshed (reseeded) for forward and backward security.

## B.3. Specific Rationale and Considerations

#### B.3.1. (Section 4.1) The sentropy CSR

The interface was designed to be simple so that a vendor- and device-independent driver component (e.g., in Linux kernel, embedded firmware, or a cryptographic library) may use the CSR to generate truly random bits.

An entropy source does not require a high-bandwidth interface; a single DRBG source initialization only requires 512 bits (256 bits of entropy), and DRBG output can be shared by any number of callers. Once initiated, a DRBG requires new entropy only to mitigate the risk of state compromise.

A blocking instruction may be easier to use, but most users should be querying a (D)RBG instead of an entropy source. Without a polling-style mechanism, the entropy source could hang for thousands of cycles under some circumstances. A wfi ot pause mechanism (at least potentially) allows energy-saving sleep on MCUs and context switching on higher-end CPUs.

The reason for the particular OPST two-bit mechanism is to provide redundancy. The "fault" bit combinations 11 (DEAD) and 00 (BIST) are more likely for electrical reasons if feature discovery fails and the entropy source is actually not available.

The 16-bit bandwidth was a compromise motivated by the desire to provide redundancy in the return value, some protection against potential Power/EM leakage (further alleviated by the 2:1 cryptographic conditioning discussed in Section B.5.6), and the desire to have all of the bits "in the same place" on both RV32 and RV64 architectures for programming convenience.

#### B.3.2. (Section 4.2.1) NIST SP 800-90B

SP 800-90C [15] states that each conditioned block of n bits is required to have n+64 bits of input entropy to attain full entropy. Hence NIST SP 800-90B [63] min-entropy assessment must guarantee at least 128 + 64 = 192 bits input entropy per 256-bit block ( [15], Sections 4.1. and 4.3.2 ). Only then a hashing of 16 \* 16 = 256 bits from the entropy source will produce the desired 128 bits of full entropy. This follows from the specific requirements, threat model, and distinguishability proof contained in SP 800-90C [15], Appendix A. The implied min-entropy rate is 192/256=12/16=0.75. The expected Shannon entropy is much larger.

In FIPS 140-3 / SP 800-90 classification, an RBG2(P) construction is a cryptographically secure RBG with continuous access to a physical entropy source (sentropy) and output generated by a fully seeded, secure DRBG. The entropy source can also be used to build RBG3 full entropy sources [15]. The concatenation of output words corresponds to the Get\_ES\_Bitstring function.

The 128-bit output block size was selected because that is the output size of the CBC-MAC conditioner specified in Appendix F of [63] and also the smallest key size we expect to see in applications.

If NIST SP 800-90B certification is chosen, the entropy source should implement at least the health tests defined in Section 4.4 of [63]: the repetition count test and adaptive proportion test, or show that the same flaws will be detected by vendor-defined tests.

#### B.3.3. (Section 4.2.2) BSI AIS-31

PTG.2 is one of the security and functionality classes defined in BSI AIS 20/31 [36]. The PTG.2 source requirements work as a building block for other types of BSI generators (e.g., DRBGs, or PTG.3 TRNG with appropriate software post-processing).

For validation purposes, the PTG.2 requirements may be mapped to security controls T1-3 (Section B.4) and the interface as follows:

- P1 [PTG.2.1] Start-up tests map to T1 and reset-triggered (on-demand) BIST tests.
- P2 [PTG.2.2] Continuous testing total failure maps to T2 and the DEAD state.
- P3 [PTG.2.3] Online tests are continuous tests of T2 entropy output is prevented in the BIST state.
- P4 [PTG.2.4] Is related to the design of effective entropy source health tests, which we encourage.
- P5 [PTG.2.5] Raw random sequence may be checked via the GetNoise interface (Section B.6).
- P6 [PTG.2.6] Test Procedure A [36] (Sect 2.4.4.1) is a part of the evaluation process, and we suggest self-evaluation using these tests even if AIS-31 certification is not sought.
- P7 [PTG.2.7] Average Shannon entropy of "internal random bits" exceeds 0.997.

Note how P7 concerns Shannon entropy, not min-entropy as with NIST sources. Hence the min-entropy requirement needs to be also stated. PTG.2 modules built and certified to the AIS-31 standard can also meet the "full entropy" condition after 2:1 cryptographic conditioning, but not necessarily so. The technical validation process is somewhat different.

#### B.3.4. (Section 4.2.3) Virtual Sources

All sources that are not direct physical sources (meeting the SP 800-90B or the AIS-31 PTG.2 requirements) need to meet the security requirements of virtual entropy sources. It is assumed that a virtual entropy source is not a limiting, shared bandwidth resource (but a software DRBG).

DRBGs can be used to feed other (virtual) DRBGs, but that does not increase the absolute amount of entropy in the system. The entropy source must be able to support current and future security standards and applications. The 256-bit requirement maps to "Category 5" of NIST Post-Quantum Cryptography (4.A.5 "Security Strength Categories" in [51]) and TOP SECRET schemes in Suite B and the newer U.S. Government CNSA Suite [54].

#### B.3.5. (Section 4.3) Security Considerations for Direct Hardware Access

The ISA implementation and system design must try to ensure that the hardware-software interface minimizes avenues for adversarial information flow even if not explicitly forbidden in the specification.

For security, virtualization requires both conditioning and DRBG processing of physical entropy output. It is recommended if a single physical entropy source is shared between multiple different virtual machnies or if the guest OS is untrusted. A virtual entropy source is significantly more resistant to depletion attacks and also lessens the risk from covert channels.

The direct mseccfg. SKES option allows one to draw a security boundary around an S or HS mode component in relation to Sensitive Security Parameter (SSP) flows, which is helpful when implementing trusted enclaves. Such modules can enforce the entire key lifecycle from birth (in the entropy source) to death (zeroization) to occur without the key being passed across the boundary to external code.

**Depletion.** Active polling may deny the entropy source to another simultaneously running consumer. This can (for example) delay the instantiation of that virtual machine if it requires entropy to initialize fully.

**Covert Channels.** Direct access to a component such as the entropy source can be used to establish communication channels across security boundaries. Active polling from one consumer makes the resource unavailable WAIT instead of ES16 to another (which is polling infrequently). Such interactions can be used to establish low-bandwidth channels.

**Hardware Fingerprinting.** An entropy source (and its noise source circuits) may have a uniquely identifiable hardware "signature." This can be harmless or even useful in some applications (as random sources may exhibit Physically Un-clonable Function (PUF) -like features) but highly undesirable in others (anonymized virtualized environments and enclaves). A DRBG masks such statistical features.

**Side Channels.** Some of the most devastating practical attacks against real-life cryptosystems have used inconsequential-looking additional information, such as padding error messages [13] or timing information [45].

We urge implementers against creating unnecessary information flows via status or custom bits or to allow any other mechanism to disable or affect the entropy source output. All information flows and interaction mechanisms must be considered from an adversarial viewpoint: the fewer the better.

As an example of side-channel analysis, we note that the entropy polling interface is typically not "constant time." One needs to analyze what kind of information is revealed via the timing oracle; one way of doing it is to model **sentropy** as a rejection sampler. Such a timing oracle can reveal information about the noise source type and entropy source usage, but usually not about the random output **seed** words themselves. If it does, additional countermeasures are necessary.

## B.4. Security Controls and Health Tests

The primary purpose of a cryptographic entropy source is to produce secret keying material. In almost all cases, a hardware entropy source must implement appropriate *security controls* to guarantee unpredictability, prevent leakage, detect attacks, and deny adversarial control over the entropy output or ts generation mechanism. Explicit security controls are required for security testing and certification.

Many of the security controls built into the device are called "health checks." Health checks can take the form of integrity checks, start-up tests, and on-demand tests. These tests can be implemented in hardware or firmware, typically both. Several are mandated by standards such as NIST SP 800-90B [52]. The choice of appropriate health tests depends on the certification target, system architecture, threat model, entropy source type, and other factors.

Health checks are not intended for hardware diagnostics but for detecting security issues – hence the deprevent weak crypto keys from being generated). Additional "debug" mechanisms may be implemented if necessary, but then the device must be outside production use.

We discuss three specific testing requirements T1-T3. The testing requirement follows from the definition of an Entropy Source; without it, the module is simply a noise source and can't be trusted to safely generate keying material.

#### B.4.1. T1: On-demand testing

A sequence of simple tests is invoked via resetting, rebooting, or powering up the hardware (not an ISA signal). The implementation will simply return BIST during the initial start-up self-test period; in any case, the driver must wait for them to finish before starting cryptographic operations. Upon failure, the entropy source will enter a no-output DEAD state.

Rationale. Interaction with hardware self-test mechanisms from the software side should be minimal; the term "on-demand" does not mean that the end-user or application program should be able to invoke them in the field (the term is a throwback to an age of discrete, non-autonomous crypto devices with human operators).

#### B.4.2. T2: Continuous checks

If an error is detected in continuous tests or environmental sensors, the entropy source will enter a no-output state. We define that a non-critical alarm is signaled if the entropy source returns to BIST state from live (WAIT or ES16) states. Critical failures will result in DEAD state immediately. A hardware-based continuous testing mechanism must not make statistical information externally available, and it must be zeroized periodically or upon demand via reset, power-up, or similar signal.

Rationale. Physical attacks can occur while the device is running. The design should avoid guiding such active attacks by revealing detailed status information. Upon detection of an attack, the default action should be aimed at damage control — to prevent weak crypto keys from being generated.

The statistical nature of some tests makes "type-1" false positives a possibility. There may also be requirements for signaling of non-fatal alarms; AIS 31 specifies "noise alarms" that can go off with non-negligible probability even if the device is functioning correctly; these can be signaled with BIST. There rarely is anything that can or should be done about a non-fatal alarm condition in an operator-free, autonomous system.

The state of statistical runtime health checks (such as counters) is potentially correlated with some secret keying material, hence the zeroization requirement.

#### B.4.3. T3: Fatal error states

Since the security of most cryptographic operations depends on the entropy source, a system-wide "default deny" security policy approach is appropriate for most entropy source failures. A hardware test failure should at least result in the DEAD state and possibly reset/halt. It's a show stopper: The entropy source (or its cryptographic client application) *must not* be allowed to run if its secure operation can't be guaranteed.

**Rationale.** These tests can complement other integrity and tamper resistance mechanisms (See Chapter 18 of [7] for examples).

Some hardware random generators are, by their physical construction, exposed to relatively non-adversarial environmental and manufacturing issues. However, even such "innocent" failure modes may indicate a *fault attack* [34] and therefore should be addressed as a system integrity failure rather than as a diagnostic issue.

Security architects will understand to use permanent or hard-to-recover "security-fuse" lockdowns only if the threshold of a test is such that the probability of false-positive is negligible over the entire device lifetime.

#### B.4.4. Information Flows

Some of the most devastating practical attacks against real-life cryptosystems have used inconsequential-looking additional information, such as padding error messages [13] or timing information [45]. In cryptography, such out-of-band information sources are called "oracles."

To guarantee that no sensitive data is read twice and that different callers don't get correlated output, it is required that hardware implements *wipe-on-read* on the randomness pathway during each read (successful poll). For the same reasons, only complete and fully processed random words shall be made available via sentropy.

This also applies to the raw noise source. The raw source interface has been delegated to an optional vendorspecific test interface. Importantly the test interface and the main interface should not be operational at the same time.

The noise source state shall be protected from adversarial knowledge or influence to the greatest extent possible. The methods used for this shall be documented, including a description of the (conceptual) security boundarys role in protecting the noise source from adversarial observation or influence.

- NIST SP 800-90B, Noise Source Requirements

An entropy source is a singular resource, subject to depletion and also covert channels [23]. Observation of the entropy can be the same as the observation of the noise source output, as cryptographic conditioning is mandatory only as a post-processing step. SP 800-90B and other security standards mandate protection of noise bits from observation and also influence.

## B.5. Implementation Strategies

As a general rule, RISC-V specifies the ISA only. We provide some additional suggestions so that portable, vendor-independent middleware and kernel components can be created. The actual hardware implementation and certification are left to vendors and circuit designers; the discussion in this Section is purely informational.

When considering implementation options and trade-offs, one must look at the entire information flow.

- 1. A Noise Source generates private, unpredictable signals from stable and well-understood physical random events.
- 2. Sampling digitizes the noise signal into a raw stream of bits. This raw data also needs to be protected by

the design.

- 3. **Continuous health tests** ensure that the noise source and its environment meet their operational parameters.
- 4. Non-cryptographic conditioners remove much of the bias and correlation in input noise.
- 5. Cryptographic conditioners produce full entropy output, completely indistinguishable from ideal random.
- 6. **DRBG** takes in >=256 bits of seed entropy as keying material and uses a "one way" cryptographic process to rapidly generate bits on demand (without revealing the seed/state).

Steps 1-4 (possibly 5) are considered to be part of the Entropy Source (ES) and provided by the sentropy CSR. Adding the software-side cryptographic steps 5-6 and control logic complements it into a True Random Number Generator (TRNG).

#### B.5.1. Ring Oscillators

We will give some examples of common noise sources that can be implemented in the processor itself (using standard cells).

The most common entropy source type in production use today is based on "free running" ring oscillators and their timing jitter. Here, an odd number of inverters is connected into a loop from which noise source bits are sampled in relation to a reference clock [16]. The sampled bit sequence may be expected to be relatively uncorrelated (close to IID) if the sample rate is suitably low [36]. However, further processing is usually required.

AMD [6], ARM [9], and IBM [40] are examples of ring oscillator TRNGs intended for high-security applications.

There are related metastability-based generator designs such as Transition Effect Ring Oscillator (TERO) [65]. The differential/feedback Intel construction [27] is slightly different but also falls into the same general metastable oscillator-based category.

The main benefits of ring oscillators are: (1) They can be implemented with standard cell libraries without external components—and even on FPGAs [64], (2) there is an established theory for their behavior [25, 26, 16], and (3) ample precedent exists for testing and certifying them at the highest security levels.

Ring oscillators also have well-known implementation pitfalls. Their output is sometimes highly dependent on temperature, which must be taken into account in testing and modeling. If the ring oscillator construction is parallelized, it is important that the number of stages and/or inverters in each chain is suitable to avoid entropy reduction due to harmonic "Huyghens synchronization" [10]. Such harmonics can also be inserted maliciously in a frequency injection attack, which can have devastating results [41]. Countermeasures are related to circuit design; environmental sensors, electrical filters, and usage of a differential oscillator may help.

#### B.5.2. Shot Noise

A category of random sources consisting of discrete events and modeled as a Poisson process is called "shot noise." There's a long-established precedent of certifying them; the AIS 31 document [36] itself offers reference designs based on noisy diodes. Shot noise sources are often more resistant to temperature changes than ring oscillators. Some of these generators can also be fully implemented with standard cells (The Rambus / Inside Secure generic TRNG IP [55] is described as a Shot Noise generator).

#### B.5.3. Other types of noise

It may be possible to certify more exotic noise sources and designs, although their stochastic model needs to be equally well understood, and their CPU interfaces must be secure. See Section B.5.8 for a discussion of Quantum entropy sources.

#### B.5.4. Continuous Health Tests

Health monitoring requires some state information related to the noise source to be maintained. The tests should be designed in a way that a specific number of samples guarantees a state flush (no hung states). We suggest flush size W = < 1024 to match with the NIST SP 800-90B required tests (See Section 4.4 in [63]). The state is also fully zeroized in a system reset.

The two mandatory tests can be built with minimal circuitry. Full histograms are not required, only simple counter registers: repetition count, window count, and sample count. Repetition count is reset every time the output sample value changes; if the count reaches a certain cutoff limit, a noise alarm (BIST) or failure (DEAD) is signaled. The window counter is used to save every W'th output (typically W in { 512, 1024 }). The frequency of this reference sample in the following window is counted; cutoff values are defined in the standard. We see that the structure of the mandatory tests is such that, if well implemented, no information is carried beyond a limit of W samples.

Section 4.5 of [63] explicitly permits additional developer-defined tests, and several more were defined in early versions of FIPS 140-1 before being "crossed out." The choice of additional tests depends on the nature and implementation of the physical source.

Especially if a non-cryptographic conditioner is used in hardware, it is possible that the AIS 31 [36] online tests are implemented by driver software. They can also be implemented in hardware. For some security profiles, AIS 31 mandates that their tolerances are set in a way that the probability of an alarm is at least  $10^{-6}$  yearly under "normal usage." Such requirements are problematic in modern applications since their probability is too high for critical systems.

There rarely is anything that can or should be done about a non-fatal alarm condition in an operator-free, autonomous system. However, AIS 31 allows the DRBG component to keep running despite a failure in its Entropy Source, so we suggest re-entering a temporary BIST state (Section B.4) to signal a non-fatal statistical error if such (non-actionable) signaling is necessary. Drivers and applications can react to this appropriately (or simply log it), but it will not directly affect the availability of the TRNG. A permanent error condition should result in DEAD state.

#### B.5.5. Non-cryptographic Conditioners

As noted in Section B.2.2, physical randomness sources generally require a post-processing step called *conditioning* to meet the desired quality requirements, which are outlined in Section 4.2.

The approach taken in this interface is to allow a combination of non-cryptographic and cryptographic filtering to take place. The first stage (hardware) merely needs to be able to distill the entropy comfortably above the necessary level.

- One may take a set of bits from a noise source and XOR them together to produce a less biased (and more independent) bit. However, such an XOR may introduce "pseudorandomness" and make the output difficult to analyze.
- The von Neumann extractor [66] looks at consecutive pairs of bits, rejects 00 and 11, and outputs 0 or 1 for 01 and 10, respectively. It will reduce the number of bits to less than 25% of the original, but the output is provably unbiased (assuming independence).
- Blum's extractor [19] can be used on sources whose behavior resembles N-state Markov chains. If its
  assumptions hold, it also removes dependencies, creating an independent and identically distributed (IID)
  source.
- Other linear and non-linear correctors such as those discussed by Dichtl and Lacharme [38].

Note that the hardware may also implement a full cryptographic conditioner in the entropy source, even though

the software driver still needs a cryptographic conditioner, too (Section 4.2).

Rationale: The main advantage of non-cryptographic extractors is in their energy efficiency, relative simplicity, and amenability to mathematical analysis. If well designed, they can be evaluated in conjunction with a stochastic model of the noise source itself. They do not require computational hardness assumptions.

#### B.5.6. Cryptographic Conditioners

For secure use, cryptographic conditioners are always required on the software side of the ISA boundary. They may also be implemented on the hardware side if necessary. In any case, the sentropy ES16 output must always be compressed 2:1 (or more) before being used as keying material or considered "full entropy."

Examples of cryptographic conditioners include the random pool of the Linux operating system, secure hash functions (SHA-2/3, SHAKE [50, 49]), and the AES / CBC-MAC construction in Appendix F, SP 800-90B [63].

In some constructions, such as the Linux RNG and SHA-3/SHAKE [50] based generators, the cryptographic conditioning and output (DRBG) generation are provided by the same component.

Rationale: For many low-power targets constructions the type of hardware AES CBC-MAC conditioner used by Intel [44] and AMD [6] would be too complex and energy-hungry to implement solely to serve sentropy. On the other hand, simpler non-cryptographic conditioners may be too wasteful on input entropy if high-quality random output is required — (ARM TrustZone TRBG [9] outputs only 10Kbit/sec at 200 MHz.) Hence a resource-saving compromise is made between hardware and software generation.

#### B.5.7. The Final Random: DRBGs

All random bits reaching end users and applications must come from a cryptographic DRBG. These are generally implemented by the driver component in software. The RISC-V AES and SHA instruction set extensions should be used if available since they offer additional security features such as timing attack resistance.

Currently recommended DRBGs are defined in NIST SP 800-90A (Rev 1) [14]: CTR\_DRBG, Hash\_DRBG, and HMAC\_DRBG. Certification often requires known answer tests (KATs) for the symmetric components and the DRBG as a whole. These are significantly easier to implement in software than in hardware. In addition to the directly certifiable SP 800-90A DRBGs, a Linux-style random pool construction based on ChaCha20 [46] can be used, or an appropriate construction based on SHAKE256 [50].

These are just recommendations; programmers can adjust the usage of the CPU Entropy Source to meet future requirements.

#### B.5.8. Quantum vs. Classical Random

The NCSC believes that classical RNGs will continue to meet our needs for government and military applications for the foreseeable future.

- U.K. NCSC QRNG Guidance, March 2020

A Quantum Random Number Generator (QRNG) is a TRNG whose source of randomness can be unambiguously identified to be a specific quantum phenomenon such as quantum state superposition, quantum state entanglement, Heisenberg uncertainty, quantum tunneling, spontaneous emission, or radioactive decay [32].

Direct quantum entropy is theoretically the best possible kind of entropy. A typical TRNG based on electronic noise is also largely based on quantum phenomena and is equally unpredictable - the difference is that the relative amount of quantum and classical physics involved is difficult to quantify for a classical TRNG.

QRNGs are designed in a way that allows the amount of quantum-origin entropy to be modeled and estimated. This distinction is important in the security model used by QKD (Quantum Key Distribution) security mechanisms which can be used to protect the physical layer (such as fiber optic cables) against interception by using quantum mechanical effects directly.

This security model means that many of the available QRNG devices do not use cryptographic conditioning and may fail cryptographic statistical requirements [28]. Many implementers may consider them to be entropy sources instead.

Relatively little research has gone into QRNG implementation security, but many QRNG designs are arguably more susceptible to leakage than classical generators (such as ring oscillators) as they tend to employ external components and mixed materials. As an example, amplification of a photon detector signal may be observable in power analysis, which classical noise-based sources are designed to resist.

#### B.5.9. Post-Quantum Cryptography

PQC public-key cryptography standards [51] do not require quantum-origin randomness, just sufficiently secure keying material. Recall that cryptography aims to protect the confidentiality and integrity of data itself and does not place any requirements on the physical communication channel (like QKD).

Classical good-quality TRNGs are perfectly suitable for generating the secret keys for PQC protocols that are hard for quantum computers to break but implementable on classical computers. What matters in cryptography is that the secret keys have enough true randomness (entropy) and that they are generated and stored securely.

Of course, one must avoid DRBGs that are based on problems that are easily solvable with quantum computers, such as factoring [61] in the case of the Blum-Blum-Shub generator [18]. Most symmetric algorithms are not affected as the best quantum attacks are still exponential to key size [24].

As an example, the original Intel RNG [44], whose output generation is based on AES-128, can be attacked using Grover's algorithm with approximately square-root effort [33]. While even "64-bit" quantum security is extremely difficult to break, many applications specify a higher security requirement. NIST [51] defines AES-128 to be "Category 1" equivalent post-quantum security, while AES-256 is "Category 5" (highest). We avoid this possible future issue by exposing direct access to the entropy source which can derive its security from information-theoretic assumptions only.

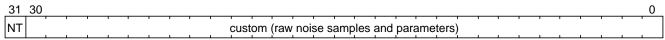
## B.6. Suggested GetNoise Test Interface

Compliance and health testing of entropy sources requires access to raw, unconditioned, noise samples. This conceptual test interface is named GetNoise in Section 2.3.2 of NIST SP 800-90B [63]; this is not a name of an instruction or pseudoinstruction.

In order for operating systems and shared drivers to be able to accommodate the test interface in a secure fashion, we suggest that it is implemented as a custom, M-mode CSR, denoted here as mnoise. This is also to facilitate the use of shared RISC-V self-certification scripts for entropy sources.

This interface is not intended to be used as a source of randomness or for other production use. We define the semantics for single bit for this custom interface, mnoise[31], which is named NOISE\_TEST.

#### **Encoding**



When NOISE\_TEST = 1 in mnoise, sentropy must not return anything via ES16; it should be in BIST state unless the source is DEAD. When NOISE\_TEST is again disabled, the entropy source shall return from BIST via an

appropriate zeroization and self-test mechanism.

The behavior of other input and output bits is left to the vendor. Other contents and behavior of the register can be intepreted in the context of mvendorid, marchid, and mimpid CSR identifiers.

When not implemented (e.g., in virtual machines), mnoise can permanently read zero (0x00000000) and ignore writes. When available, but NOISE\_TEST = 0, mnoise can return a nonzero constant (e.g. 0x00000001) but no noise samples.

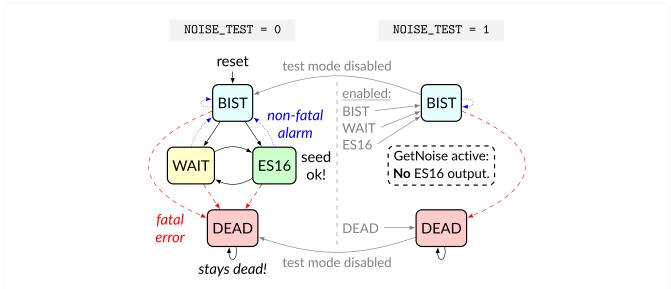


Figure 2. Entropy source can't be read in test mode.

In NOISE\_TEST mode, the WAIT and ES16 sentropy states are unreachable, and no entropy is output. Implementation of test interfaces that directly affect ES16 entropy output from the sentropy interface is discouraged. Such vendor test interfaces have been exploited in attacks. For example, an ECDSA [48] signature process without sufficient entropy will not only create an insecure signature but can also reveal the secret signing key, that can be used for authentication forgeries by attackers. Hence even a temporary lapse in ES16 security may have serious security implications.

# Appendix C: Supplementary Materials

While this document contains the specifications for the RISC-V cryptography extensions, numerous supplementary materials and example codes have also been developed. All of the materials related to the RISC-V Cryptography extension live in a Github Repository, located at github.com/riscv/riscv-crypto

- doc/ Contains the source code for this document.
- doc/supp/ Contains supplementary information and recommendations for implementers of software and hardware.
- benchmarks/ Example software implementations.
- rtl/ Example Verilog implementations of each instruction.
- sail/ Formal model implementations in Sail.

# Appendix D: Supporting Sail Code

This section contains the supporting Sail code referenced by the instruction descriptions throughout the specification. The Sail Manual is recommended reading in order to best understand the supporting code.

```
val ror32 : (bits(32), int) -> bits(32)
function ror32(x, y) = {
  (x>>to_bits(5,y)) | (x<<to_bits(5,32-y))
}
val ror64 : (bits(64), int) -> bits(64)
function ror64(x, y) = {
  (x>>to_bits(6,y)) | (x<<to_bits(6,64-y))
}
val rol32 : (bits(32), int) -> bits(32)
function rol32(x, y) = {
  (x << to_bits(5,y)) \mid (x >> to_bits(5,32-y))
}
/* Auxiliary function for performing GF multiplication */
val xt2 : bits(8) -> bits(8)
function xt2(x) = {
  (x \ll 1) \hat{ } (match (bit_to_bool(x[7])) 
   false \Rightarrow 0x00,
    true \Rightarrow 0x1B
  })
}
val xt3 : bits(8) -> bits(8)
function xt3(x) = {
  x ^ xt2(x)
}
/* Multiply 8-bit field element by 4-bit value for AES MixCols step */
val gfmul : (bits(8), bits(4)) \rightarrow bits(8)
function gfmul(x, y) = {
  (if bit_to_bool(y[0]) then
                                          x else 0x00) ^
  (if bit_to_bool(y[1]) then xt2(
                                        x) else 0x00) ^
  (if bit_to_bool(y[2]) then xt2(xt2(x)) else 0x00) ^
  (if bit_to_bool(y[3]) then xt2(xt2(xt2(x))) else 0x00)
}
/* 8-bit to 32-bit partial AES Mix Colum - forwards */
val aes_mixcolumn_byte_fwd : bits(8) -> bits(32)
function aes_mixcolumn_byte_fwd(so) = {
  gfmul(so, 0x3) @ so @ so @ gfmul(so, 0x2)
```

```
}
/* 8-bit to 32-bit partial AES Mix Colum - inverse*/
val aes_mixcolumn_byte_inv : bits(8) -> bits(32)
function aes_mixcolumn_byte_inv(so) = {
 gfmul(so, 0xb) @ gfmul(so, 0xd) @ gfmul(so, 0x9) @ gfmul(so, 0xe)
}
/* 32-bit to 32-bit AES forward MixColumn */
val aes_mixcolumn_fwd : bits(32) -> bits(32)
function aes_mixcolumn_fwd(x) = {
 let s0 : bits (8) = x[7..0];
 let s1 : bits (8) = x[15...8];
 let s2 : bits (8) = x[23..16];
 let s3 : bits (8) = x[31..24];
  let b0 : bits (8) = xt2(s0) ^ xt3(s1) ^ (s2) ^
 let b1 : bits (8) = (s0) ^ xt2(s1) ^ xt3(s2) ^
                                                       (s3);
 let b2 : bits (8) = (s0)^
                                  (s1) ^ xt2(s2) ^ xt3(s3);
 let b3 : bits (8) = xt3(s0) ^
                                 (s1) ^ (s2) ^ xt2(s3);
 b3 @ b2 @ b1 @ b0 /* Return value */
}
/* 32-bit to 32-bit AES inverse MixColumn */
val aes_mixcolumn_inv : bits(32) -> bits(32)
function aes_mixcolumn_inv(x) = {
 let s0 : bits (8) = x[7..0];
 let s1 : bits (8) = x[15...8];
 let s2 : bits (8) = x[23..16];
 let s3 : bits (8) = x[31..24];
 let b0 : bits (8) = gfmul(s0,0xE)^gfmul(s1,0xB)^gfmul(s2,0xD)^gfmul(s3,0x9);
 let b1 : bits (8) = gfmul(s0,0x9)^gfmul(s1,0xE)^gfmul(s2,0xB)^gfmul(s3,0xD);
 let b2 : bits (8) = gfmul(s0,0xD)^gfmul(s1,0x9)^gfmul(s2,0xE)^gfmul(s3,0xB);
 let b3 : bits (8) = gfmul(s0,0xB)^gfmul(s1,0xD)^gfmul(s2,0x9)^gfmul(s3,0xE);
 b3 @ b2 @ b1 @ b0 /* Return value */
}
val aes_decode_rcon : bits(4) -> bits(32)
function aes_decode_rcon(r) = {
 match r {
    0x0 => 0x00000001,
    0x1 => 0x00000002
    0x2 \Rightarrow 0x00000004,
    0x3 => 0x00000008,
    0x4 => 0x00000010,
    0x5 => 0x00000020,
    0x6 \Rightarrow 0x00000040,
    0x7 => 0x00000080,
```

```
0x8 => 0x0000001b,
    0x9 \Rightarrow 0x00000036,
    0xA => 0x00000000,
    0xB => 0x00000000,
    0xC => 0x00000000,
    0xD => 0x00000000
    0xE => 0x00000000,
    0xF => 0x00000000
 }
}
/* SM4 SBox - only one sbox for forwards and inverse */
let sm4_sbox_table : list(bits(8)) = [|
0xD6, 0x90, 0xE9, 0xFE, 0xCC, 0xE1, 0x3D, 0xB7, 0x16, 0xB6, 0x14, 0xC2, 0x28,
0xFB, 0x2C, 0x05, 0x2B, 0x67, 0x9A, 0x76, 0x2A, 0xBE, 0x04, 0xC3, 0xAA, 0x44,
0x13, 0x26, 0x49, 0x86, 0x06, 0x99, 0x9C, 0x42, 0x50, 0xF4, 0x91, 0xEF, 0x98,
0x7A, 0x33, 0x54, 0x0B, 0x43, 0xED, 0xCF, 0xAC, 0x62, 0xE4, 0xB3, 0x1C, 0xA9,
0xC9, 0x08, 0xE8, 0x95, 0x80, 0xDF, 0x94, 0xFA, 0x75, 0x8F, 0x3F, 0xA6, 0x47,
0x07, 0xA7, 0xFC, 0xF3, 0x73, 0x17, 0xBA, 0x83, 0x59, 0x3C, 0x19, 0xE6, 0x85,
0x4F, 0xA8, 0x68, 0x6B, 0x81, 0xB2, 0x71, 0x64, 0xDA, 0x8B, 0xF8, 0xEB, 0x0F,
0x4B, 0x70, 0x56, 0x9D, 0x35, 0x1E, 0x24, 0x0E, 0x5E, 0x63, 0x58, 0xD1, 0xA2,
0x25, 0x22, 0x7C, 0x3B, 0x01, 0x21, 0x78, 0x87, 0xD4, 0x00, 0x46, 0x57, 0x9F,
0xD3, 0x27, 0x52, 0x4C, 0x36, 0x02, 0xE7, 0xA0, 0xC4, 0xC8, 0x9E, 0xEA, 0xBF,
0x8A, 0xD2, 0x40, 0xC7, 0x38, 0xB5, 0xA3, 0xF7, 0xF2, 0xCE, 0xF9, 0x61, 0x15,
0xA1, 0xE0, 0xAE, 0x5D, 0xA4, 0x9B, 0x34, 0x1A, 0x55, 0xAD, 0x93, 0x32, 0x30,
0xF5, 0x8C, 0xB1, 0xE3, 0x1D, 0xF6, 0xE2, 0x2E, 0x82, 0x66, 0xCA, 0x60, 0xC0,
0x29, 0x23, 0xAB, 0x0D, 0x53, 0x4E, 0x6F, 0xD5, 0xDB, 0x37, 0x45, 0xDE, 0xFD,
0x8E, 0x2F, 0x03, 0xFF, 0x6A, 0x72, 0x6D, 0x6C, 0x5B, 0x51, 0x8D, 0x1B, 0xAF,
0x92, 0xBB, 0xDD, 0xBC, 0x7F, 0x11, 0xD9, 0x5C, 0x41, 0x1F, 0x10, 0x5A, 0xD8,
0x0A, 0xC1, 0x31, 0x88, 0xA5, 0xCD, 0x7B, 0xBD, 0x2D, 0x74, 0xD0, 0x12, 0xB8,
0xE5, 0xB4, 0xB0, 0x89, 0x69, 0x97, 0x4A, 0x0C, 0x96, 0x77, 0x7E, 0x65, 0xB9,
0xF1, 0x09, 0xC5, 0x6E, 0xC6, 0x84, 0x18, 0xF0, 0x7D, 0xEC, 0x3A, 0xDC, 0x4D,
0x20, 0x79, 0xEE, 0x5F, 0x3E, 0xD7, 0xCB, 0x39, 0x48
let aes_sbox_fwd_table : list(bits(8)) = [|
0x63, 0x7c, 0x77, 0x7b, 0xf2, 0x6b, 0x6f, 0xc5, 0x30, 0x01, 0x67, 0x2b, 0xfe,
0xd7, 0xab, 0x76, 0xca, 0x82, 0xc9, 0x7d, 0xfa, 0x59, 0x47, 0xf0, 0xad, 0xd4,
0xa2, 0xaf, 0x9c, 0xa4, 0x72, 0xc0, 0xb7, 0xfd, 0x93, 0x26, 0x36, 0x3f, 0xf7,
0xcc, 0x34, 0xa5, 0xe5, 0xf1, 0x71, 0xd8, 0x31, 0x15, 0x04, 0xc7, 0x23, 0xc3,
0x18, 0x96, 0x05, 0x9a, 0x07, 0x12, 0x80, 0xe2, 0xeb, 0x27, 0xb2, 0x75, 0x09,
0x83, 0x2c, 0x1a, 0x1b, 0x6e, 0x5a, 0xa0, 0x52, 0x3b, 0xd6, 0xb3, 0x29, 0xe3,
0x2f, 0x84, 0x53, 0xd1, 0x00, 0xed, 0x20, 0xfc, 0xb1, 0x5b, 0x6a, 0xcb, 0xbe,
0x39, 0x4a, 0x4c, 0x58, 0xcf, 0xd0, 0xef, 0xaa, 0xfb, 0x43, 0x4d, 0x33, 0x85,
0x45, 0xf9, 0x02, 0x7f, 0x50, 0x3c, 0x9f, 0xa8, 0x51, 0xa3, 0x40, 0x8f, 0x92,
0x9d, 0x38, 0xf5, 0xbc, 0xb6, 0xda, 0x21, 0x10, 0xff, 0xf3, 0xd2, 0xcd, 0x0c,
0x13, 0xec, 0x5f, 0x97, 0x44, 0x17, 0xc4, 0xa7, 0x7e, 0x3d, 0x64, 0x5d, 0x19,
```

```
0x73, 0x60, 0x81, 0x4f, 0xdc, 0x22, 0x2a, 0x90, 0x88, 0x46, 0xee, 0xb8, 0x14,
0xde, 0x5e, 0x0b, 0xdb, 0xe0, 0x32, 0x3a, 0x0a, 0x49, 0x06, 0x24, 0x5c, 0xc2,
0xd3, 0xac, 0x62, 0x91, 0x95, 0xe4, 0x79, 0xe7, 0xc8, 0x37, 0x6d, 0x8d, 0xd5,
0x4e, 0xa9, 0x6c, 0x56, 0xf4, 0xea, 0x65, 0x7a, 0xae, 0x08, 0xba, 0x78, 0x25,
0x2e, 0x1c, 0xa6, 0xb4, 0xc6, 0xe8, 0xdd, 0x74, 0x1f, 0x4b, 0xbd, 0x8b, 0x8a,
0x70, 0x3e, 0xb5, 0x66, 0x48, 0x03, 0xf6, 0x0e, 0x61, 0x35, 0x57, 0xb9, 0x86,
0xc1, 0x1d, 0x9e, 0xe1, 0xf8, 0x98, 0x11, 0x69, 0xd9, 0x8e, 0x94, 0x9b, 0x1e,
0x87, 0xe9, 0xce, 0x55, 0x28, 0xdf, 0x8c, 0xa1, 0x89, 0x0d, 0xbf, 0xe6, 0x42,
0x68, 0x41, 0x99, 0x2d, 0x0f, 0xb0, 0x54, 0xbb, 0x16
let aes_sbox_inv_table : list(bits(8)) = [|
0x52, 0x09, 0x6a, 0xd5, 0x30, 0x36, 0xa5, 0x38, 0xbf, 0x40, 0xa3, 0x9e, 0x81,
0xf3, 0xd7, 0xfb, 0x7c, 0xe3, 0x39, 0x82, 0x9b, 0x2f, 0xff, 0x87, 0x34, 0x8e,
0x43, 0x44, 0xc4, 0xde, 0xe9, 0xcb, 0x54, 0x7b, 0x94, 0x32, 0xa6, 0xc2, 0x23,
0x3d, 0xee, 0x4c, 0x95, 0x0b, 0x42, 0xfa, 0xc3, 0x4e, 0x08, 0x2e, 0xa1, 0x66,
0x28, 0xd9, 0x24, 0xb2, 0x76, 0x5b, 0xa2, 0x49, 0x6d, 0x8b, 0xd1, 0x25, 0x72,
0xf8, 0xf6, 0x64, 0x86, 0x68, 0x98, 0x16, 0xd4, 0xa4, 0x5c, 0xcc, 0x5d, 0x65,
0xb6, 0x92, 0x6c, 0x70, 0x48, 0x50, 0xfd, 0xed, 0xb9, 0xda, 0x5e, 0x15, 0x46,
0x57, 0xa7, 0x8d, 0x9d, 0x84, 0x90, 0xd8, 0xab, 0x00, 0x8c, 0xbc, 0xd3, 0x0a,
0xf7, 0xe4, 0x58, 0x05, 0xb8, 0xb3, 0x45, 0x06, 0xd0, 0x2c, 0x1e, 0x8f, 0xca,
0x3f, 0x0f, 0x02, 0xc1, 0xaf, 0xbd, 0x03, 0x01, 0x13, 0x8a, 0x6b, 0x3a, 0x91,
0x11, 0x41, 0x4f, 0x67, 0xdc, 0xea, 0x97, 0xf2, 0xcf, 0xce, 0xf0, 0xb4, 0xe6,
0x73, 0x96, 0xac, 0x74, 0x22, 0xe7, 0xad, 0x35, 0x85, 0xe2, 0xf9, 0x37, 0xe8,
0x1c, 0x75, 0xdf, 0x6e, 0x47, 0xf1, 0x1a, 0x71, 0x1d, 0x29, 0xc5, 0x89, 0x6f,
0xb7, 0x62, 0x0e, 0xaa, 0x18, 0xbe, 0x1b, 0xfc, 0x56, 0x3e, 0x4b, 0xc6, 0xd2,
0x79, 0x20, 0x9a, 0xdb, 0xc0, 0xfe, 0x78, 0xcd, 0x5a, 0xf4, 0x1f, 0xdd, 0xa8,
0x33, 0x88, 0x07, 0xc7, 0x31, 0xb1, 0x12, 0x10, 0x59, 0x27, 0x80, 0xec, 0x5f,
0x60, 0x51, 0x7f, 0xa9, 0x19, 0xb5, 0x4a, 0x0d, 0x2d, 0xe5, 0x7a, 0x9f, 0x93,
0xc9, 0x9c, 0xef, 0xa0, 0xe0, 0x3b, 0x4d, 0xae, 0x2a, 0xf5, 0xb0, 0xc8, 0xeb,
0xbb, 0x3c, 0x83, 0x53, 0x99, 0x61, 0x17, 0x2b, 0x04, 0x7e, 0xba, 0x77, 0xd6,
0x26, 0xe1, 0x69, 0x14, 0x63, 0x55, 0x21, 0x0c, 0x7d
/* Lookup function - takes an index and a list, and retrieves the
 * x'th element of that list.
 */
val sbox_lookup : (bits(8), list(bits(8))) -> bits(8)
function sbox_lookup(x, table) = {
 match (x, table) {
    (0x00, t0::tn) \Rightarrow t0,
    (y, t0::tn) \Rightarrow sbox_lookup(x - 0x01,tn)
 }
}
/* Easy function to perform a forward AES SBox operation on 1 byte. */
val aes_sbox_fwd : bits(8) -> bits(8)
```

```
function aes_sbox_fwd(x) = {
    sbox_lookup(x, aes_sbox_fwd_table)
}
/* Easy function to perform an inverse AES SBox operation on 1 byte. */
val aes_sbox_inv : bits(8) -> bits(8)
function aes_sbox_inv(x) = {
    sbox_lookup(x, aes_sbox_inv_table)
}
/* AES SubWord function used in the key expansion
 * - Applies the forward sbox to each byte in the input word.
 */
val aes_subword_fwd : bits(32) -> bits(32)
function aes_subword_fwd(x) = {
 aes_sbox_fwd(x[31..24]) @
 aes_sbox_fwd(x[23..16]) @
 aes_sbox_fwd(x[15...8]) @
 aes_sbox_fwd(x[7..0])
}
/* AES Inverse SubWord function.
 * - Applies the inverse sbox to each byte in the input word.
 */
val aes_subword_inv : bits(32) -> bits(32)
function aes_subword_inv(x) = {
 aes_sbox_inv(x[31..24]) @
 aes_sbox_inv(x[23..16]) @
 aes_sbox_inv(x[15.. 8]) @
 aes_sbox_inv(x[7..0])
}
/* Easy function to perform an SM4 SBox operation on 1 byte. */
val sm4_sbox : bits(8) -> bits(8)
function sm4\_sbox(x) = {
 sbox_lookup(x, sm4_sbox_table)
}
val aes_get_column : (bits(128), nat) -> bits(32)
function aes_get_column(state,c) = {
 (state >> (to_bits(7,32*c)))[31..0]
}
/* 64-bit to 64-bit function which applies the AES forward sbox to each byte
 * in a 64-bit word.
 */
val aes_apply_fwd_sbox_to_each_byte : bits(64) -> bits(64)
```

```
function aes_apply_fwd_sbox_to_each_byte(x) = {
  aes_sbox_fwd(x[63..56]) @
 aes_sbox_fwd(x[55..48]) @
 aes_sbox_fwd(x[47..40]) @
 aes_sbox_fwd(x[39..32]) @
 aes_sbox_fwd(x[31..24]) @
 aes_sbox_fwd(x[23..16]) @
 aes_sbox_fwd(x[15...8]) @
 aes_sbox_fwd(x[7..0])
}
/* 64-bit to 64-bit function which applies the AES inverse sbox to each byte
 * in a 64-bit word.
 */
val aes_apply_inv_sbox_to_each_byte : bits(64) -> bits(64)
function aes_apply_inv_sbox_to_each_byte(x) = {
  aes_sbox_inv(x[63..56]) @
 aes_sbox_inv(x[55..48]) @
 aes_sbox_inv(x[47..40]) @
 aes_sbox_inv(x[39..32]) @
 aes_sbox_inv(x[31..24]) @
 aes_sbox_inv(x[23..16]) @
 aes_sbox_inv(x[15...8]) @
 aes_sbox_inv(x[7..0])
}
/*
 * AES full-round transformation functions.
 */
val getbyte : (bits(64), int) -> bits(8)
function getbyte(x, i) = {
  (x >> to_bits(6,i*8))[7..0]
}
val aes_rv64_shiftrows_fwd : (bits(64), bits(64)) -> bits(64)
function aes_rv64_shiftrows_fwd(rs2, rs1) = {
 getbyte(rs1, 3) @
 getbyte(rs2, 6) @
 getbyte(rs2, 1) @
 getbyte(rs1, 4) @
  getbyte(rs2, 7) @
 getbyte(rs2, 2) @
 getbyte(rs1, 5) @
 getbyte(rs1, 0)
}
```

```
val aes_rv64_shiftrows_inv : (bits(64), bits(64)) -> bits(64)
function aes_rv64_shiftrows_inv(rs2, rs1) = {
 getbyte(rs2, 3) @
  getbyte(rs2, 6) @
 getbyte(rs1, 1) @
 getbyte(rs1, 4) @
 getbyte(rs1, 7) @
  getbyte(rs2, 2) @
 getbyte(rs2, 5) @
 getbyte(rs1, 0)
}
/* 128-bit to 128-bit implementation of the forward AES ShiftRows transform.
 * Byte 0 of state is input column 0, bits 7..0.
 * Byte 5 of state is input column 1, bits 15..8.
val aes_shift_rows_fwd : bits(128) -> bits(128)
function aes_shift_rows_fwd(x) = {
 let ic3 : bits(32) = aes_get_column(x, 3);
 let ic2 : bits(32) = aes_get_column(x, 2);
 let ic1 : bits(32) = aes_get_column(x, 1);
 let ic0 : bits(32) = aes_get_column(x, 0);
 let oc0 : bits(32) = ic0[31..24] @ ic1[23..16] @ ic2[15.. 8] @ ic3[7.. 0];
 let oc1 : bits(32) = ic1[31..24] @ ic2[23..16] @ ic3[15.. 8] @ ic0[7.. 0];
 let oc2 : bits(32) = ic2[31..24] @ ic3[23..16] @ ic0[15.. 8] @ ic1[7.. 0];
 let oc3 : bits(32) = ic3[31..24] @ ic0[23..16] @ ic1[15.. 8] @ ic2[7.. 0];
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
/* 128-bit to 128-bit implementation of the inverse AES ShiftRows transform.
 * Byte 0 of state is input column 0, bits 7..0.
 * Byte 5 of state is input column 1, bits 15..8.
val aes_shift_rows_inv : bits(128) -> bits(128)
function aes_shift_rows_inv(x) = {
 let ic3 : bits(32) = aes_get_column(x, 3); /* In column 3 */
 let ic2 : bits(32) = aes_get_column(x, 2);
 let ic1 : bits(32) = aes_get_column(x, 1);
 let ic0 : bits(32) = aes_get_column(x, 0);
 let oc0 : bits(32) = ic0[31..24] @ ic3[23..16] @ ic2[15.. 8] @ ic1[7.. 0];
 let oc1 : bits(32) = ic1[31..24] @ ic0[23..16] @ ic3[15.. 8] @ ic2[7.. 0];
 let oc2 : bits(32) = ic2[31..24] @ ic1[23..16] @ ic0[15.. 8] @ ic3[7.. 0];
 let oc3 : bits(32) = ic3[31..24] @ ic2[23..16] @ ic1[15.. 8] @ ic0[7.. 0];
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
/* Applies the forward sub-bytes step of AES to a 128-bit vector
```

```
* representation of its state.
 */
val aes_subbytes_fwd : bits(128) -> bits(128)
function aes_subbytes_fwd(x) = {
 let oc0 : bits(32) = aes_subword_fwd(aes_get_column(x, 0));
 let oc1 : bits(32) = aes_subword_fwd(aes_get_column(x, 1));
 let oc2 : bits(32) = aes_subword_fwd(aes_get_column(x, 2));
 let oc3 : bits(32) = aes_subword_fwd(aes_get_column(x, 3));
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
/* Applies the inverse sub-bytes step of AES to a 128-bit vector
 * representation of its state.
 */
val aes_subbytes_inv : bits(128) -> bits(128)
function aes_subbytes_inv(x) = {
 let oc0 : bits(32) = aes_subword_inv(aes_get_column(x, 0));
 let oc1 : bits(32) = aes_subword_inv(aes_get_column(x, 1));
 let oc2 : bits(32) = aes_subword_inv(aes_get_column(x, 2));
 let oc3 : bits(32) = aes_subword_inv(aes_get_column(x, 3));
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
/* Applies the forward MixColumns step of AES to a 128-bit vector
 * representation of its state.
 */
val aes_mixcolumns_fwd : bits(128) -> bits(128)
function aes_mixcolumns_fwd(x) = {
  let oc0 : bits(32) = aes_mixcolumn_fwd(aes_get_column(x, 0));
 let oc1 : bits(32) = aes_mixcolumn_fwd(aes_get_column(x, 1));
 let oc2 : bits(32) = aes_mixcolumn_fwd(aes_get_column(x, 2));
 let oc3 : bits(32) = aes_mixcolumn_fwd(aes_get_column(x, 3));
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
/* Applies the inverse MixColumns step of AES to a 128-bit vector
 * representation of its state.
 */
val aes_mixcolumns_inv : bits(128) -> bits(128)
function aes_mixcolumns_inv(x) = {
 let oc0 : bits(32) = aes_mixcolumn_inv(aes_get_column(x, 0));
 let oc1 : bits(32) = aes_mixcolumn_inv(aes_get_column(x, 1));
 let oc2 : bits(32) = aes_mixcolumn_inv(aes_get_column(x, 2));
 let oc3 : bits(32) = aes_mixcolumn_inv(aes_get_column(x, 3));
  (oc3 @ oc2 @ oc1 @ oc0) /* Return value */
}
```